

# Turing Machines

## Part Two

Recap from Last Time

The ***Church-Turing Thesis*** claims that

every effective method of computation is either equivalent to or weaker than a Turing machine.

# Very Important Terminology

Let  $M$  be a Turing machine and let  $w$  be a string.

$M$  **accepts**  $w$  if it enters an accept state when run on  $w$ .

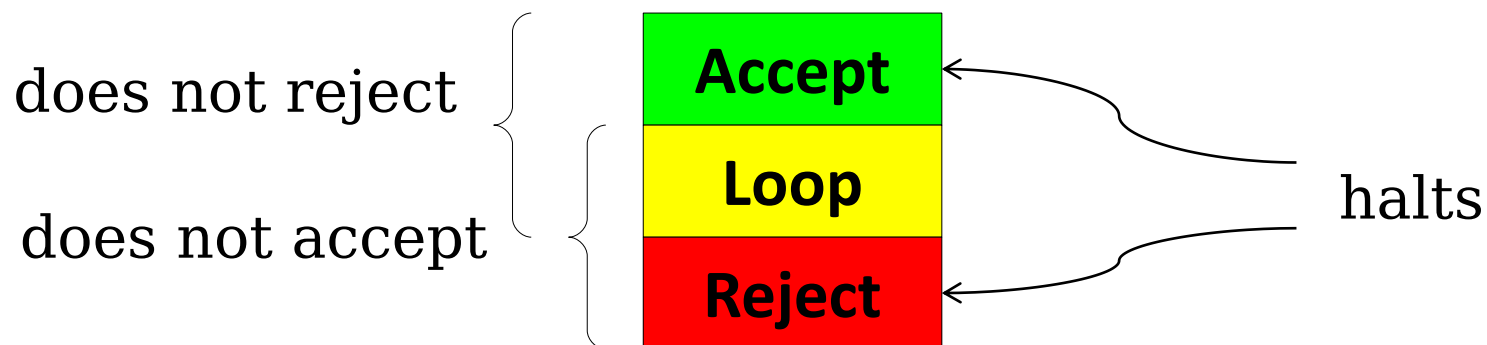
$M$  **rejects**  $w$  if it enters a reject state when run on  $w$ .

$M$  **loops infinitely on**  $w$  (or just **loops on**  $w$ ) if when run on  $w$  it enters neither an accept nor a reject state.

$M$  **does not accept**  $w$  if it either rejects  $w$  or loops infinitely on  $w$ .

$M$  **does not reject**  $w$  if it either accepts  $w$  or loops on  $w$ .

$M$  **halts on**  $w$  if it accepts  $w$  or rejects  $w$ .



# The Language of a TM

The language of a Turing machine  $M$ , denoted  $\mathcal{L}(M)$ , is the set of all strings that  $M$  accepts:

$$\mathcal{L}(M) = \{ w \in \Sigma^* \mid M \text{ accepts } w \}$$

For any  $w \in \mathcal{L}(M)$ ,  $M$  accepts  $w$ .

For any  $w \notin \mathcal{L}(M)$ ,  $M$  does not accept  $w$ .

$M$  might reject  $w$ , or it might loop on  $w$ .

A language is called **recognizable** if it is the language of some TM.

A TM  $M$  where  $\mathcal{L}(M) = L$  is called a **recognizer** for  $L$ .

Notation: the class **RE** is the set of all recognizable languages.

$$L \in \mathbf{RE} \iff L \text{ is recognizable}$$

What do you think? Does that correspond to what you think it means to solve a problem?

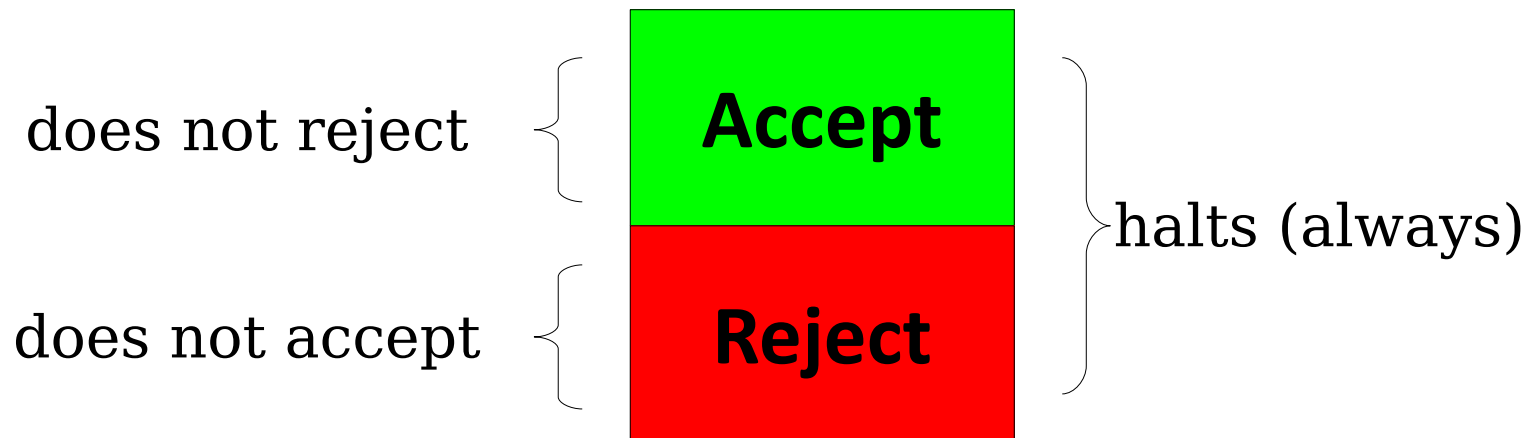
New Stuff!

# Deciders

Some Turing machines always halt; they never go into an infinite loop.

If  $M$  is a TM and  $M$  halts on every possible input, then we say that  $M$  is a **decider**.

For deciders, accepting is the same as not rejecting and rejecting is the same as not accepting.





# Decidable Languages

A language  $L$  is called **decidable** if there is a decider  $M$  such that  $\mathcal{L}(M) = L$ .

Equivalently, a language  $L$  is decidable if there is a TM  $M$  such that

- If  $w \in L$ , then  $M$  accepts  $w$ .
- If  $w \notin L$ , then  $M$  rejects  $w$ .

The class **R** is the set of all decidable languages.

$$L \in \mathbf{R} \leftrightarrow L \text{ is decidable}$$

Decidable problems, in some sense, problems that can definitely be “solved” by a computer.

# A Feel for **R** and **RE**

Say you're working on a CS assignment and you ask yourself the question "does my program have a bug?"

- An **RE** perspective: if you find a bug, you know for sure the answer is "yes", but not finding one doesn't necessarily mean the answer is "no".
- An **R** perspective: it would be *great* if there were a magic program that could look at your code and tell you whether it's correct. (*Does something like this exist?*)

# **R** and **RE** Languages

Every decider is a Turing machine, but not every Turing machine is a decider.

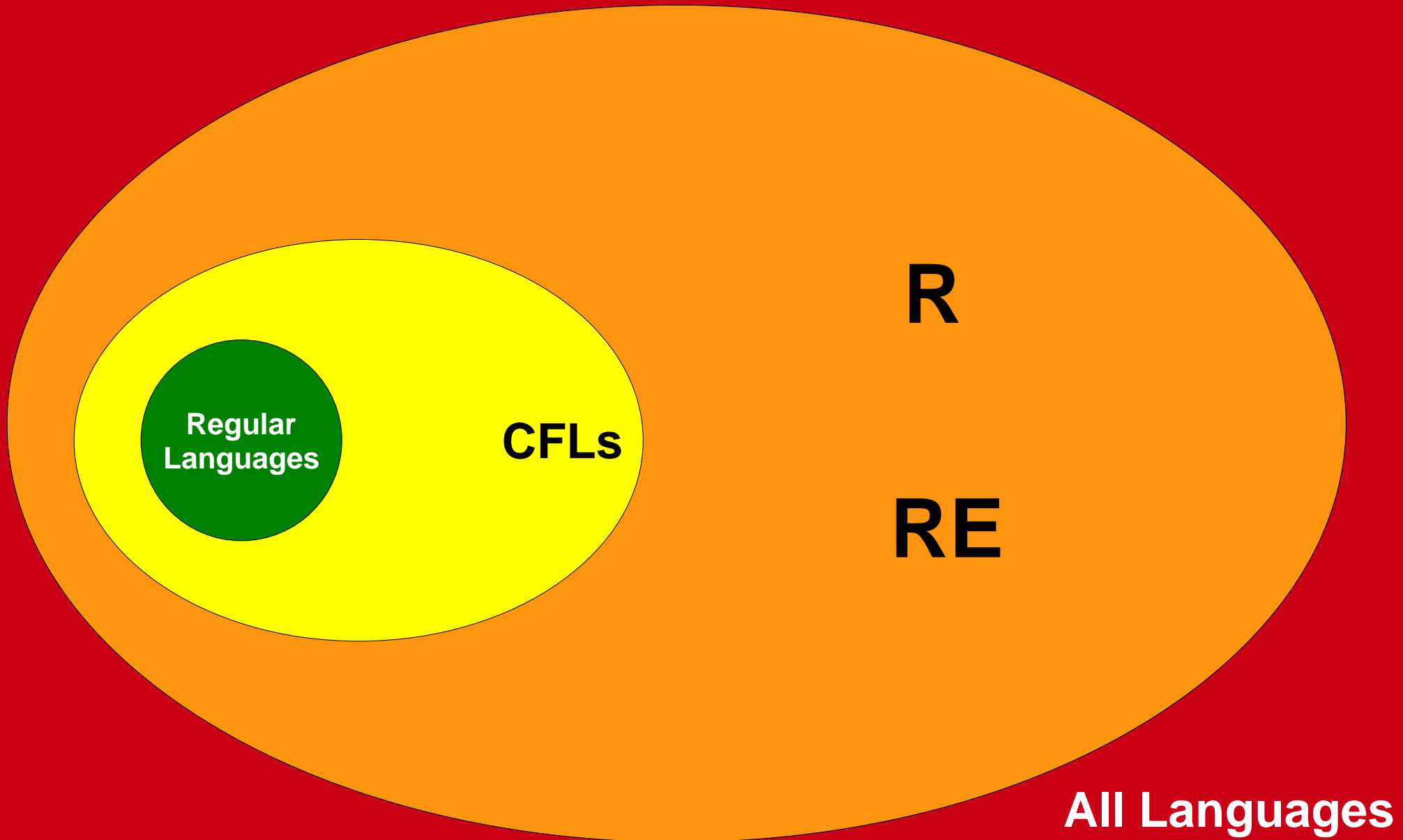
This means that **R**  $\subseteq$  **RE**.

Hugely important theoretical question:

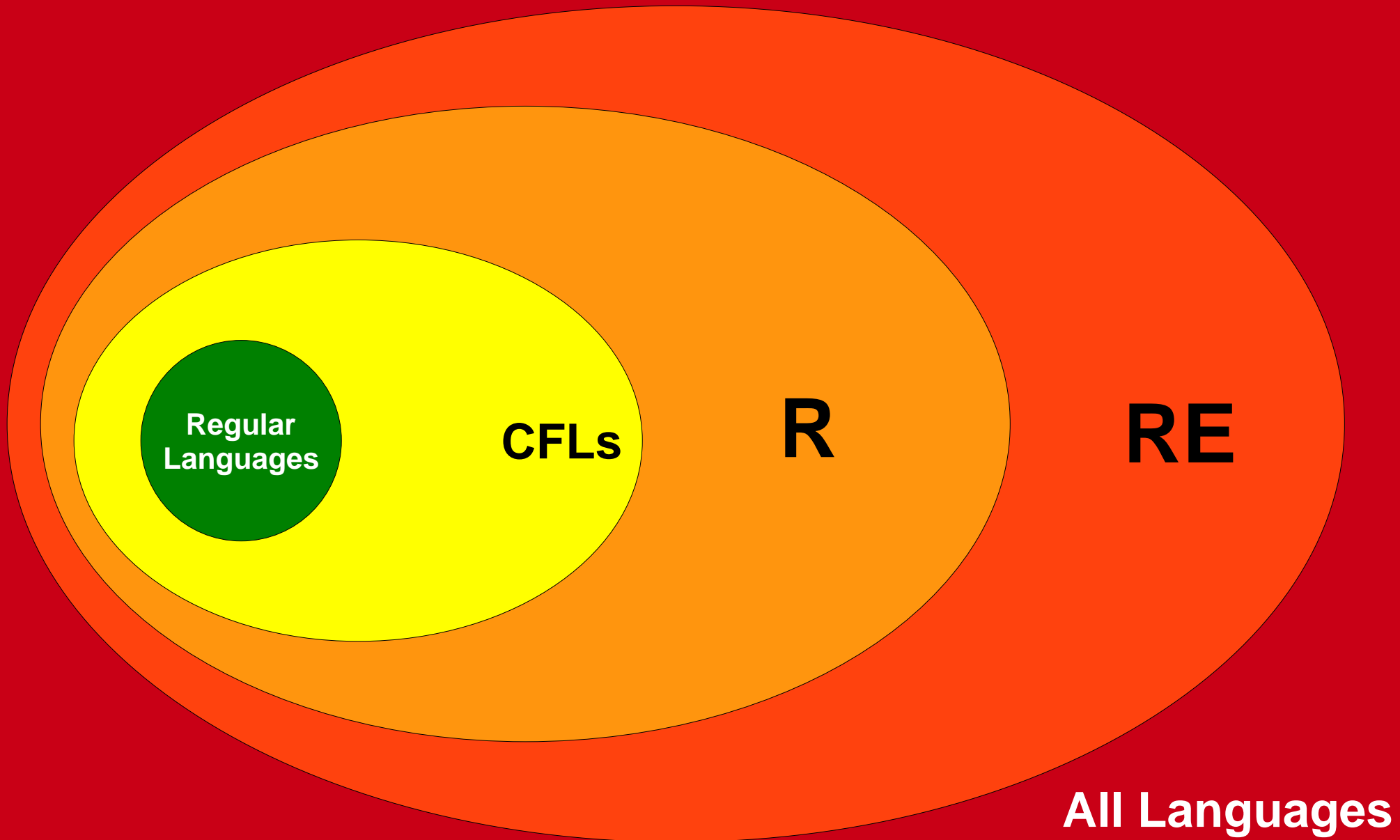
$$\mathbf{R} \stackrel{?}{=} \mathbf{RE}$$

That is, if you can just confirm “yes” answers to a problem, can you necessarily *solve* that problem?

# Which Picture is Correct?



# Which Picture is Correct?



What **problems** can we solve with a computer?



What is a "problem?"

# Decision Problems

A ***decision problem*** is a type of problem where the goal is to provide a yes or no answer.

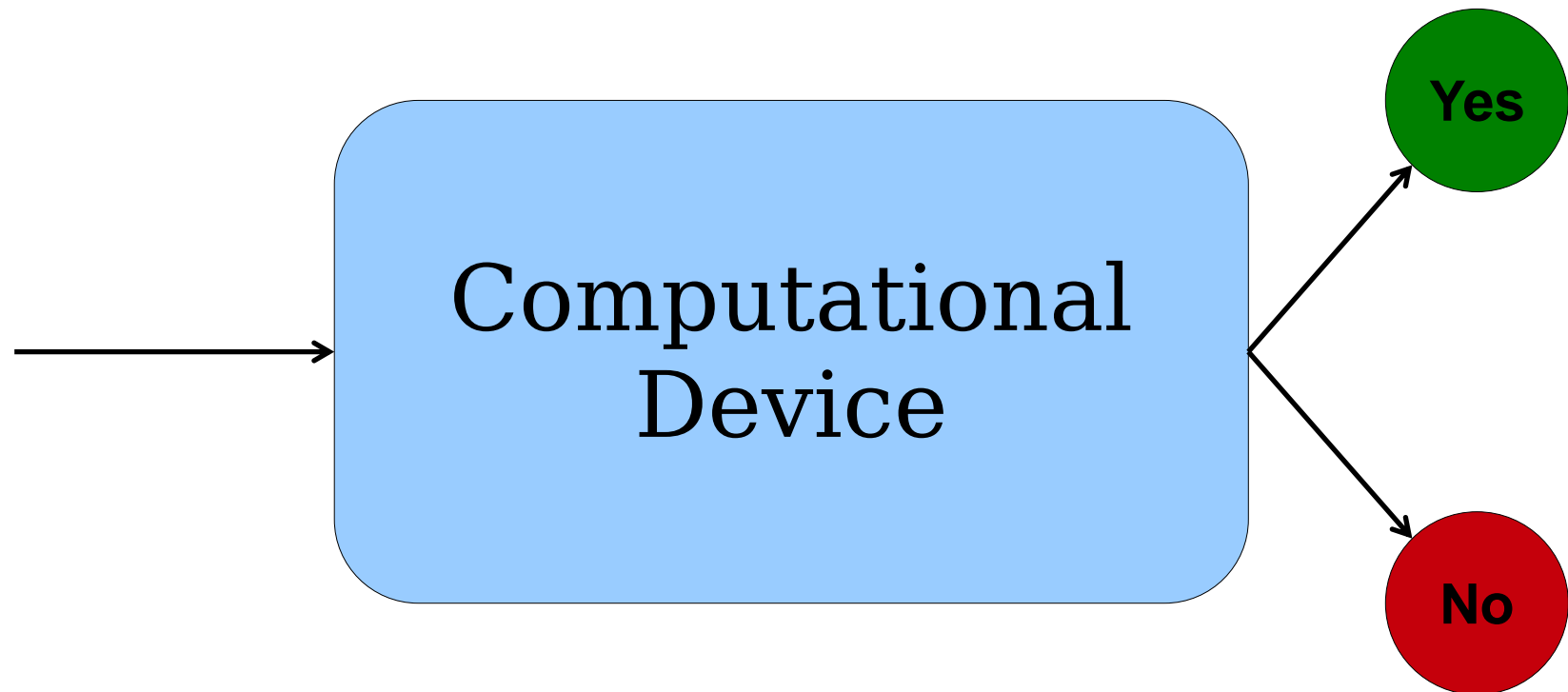
Example: Bin Packing

You're given a list of patients who need to be seen and how much time each one needs to be seen for.  
You're given a list of doctors and how much free time they have. Is there a way to schedule the patients so that they can all be seen?

Example: Dominating Set Problem

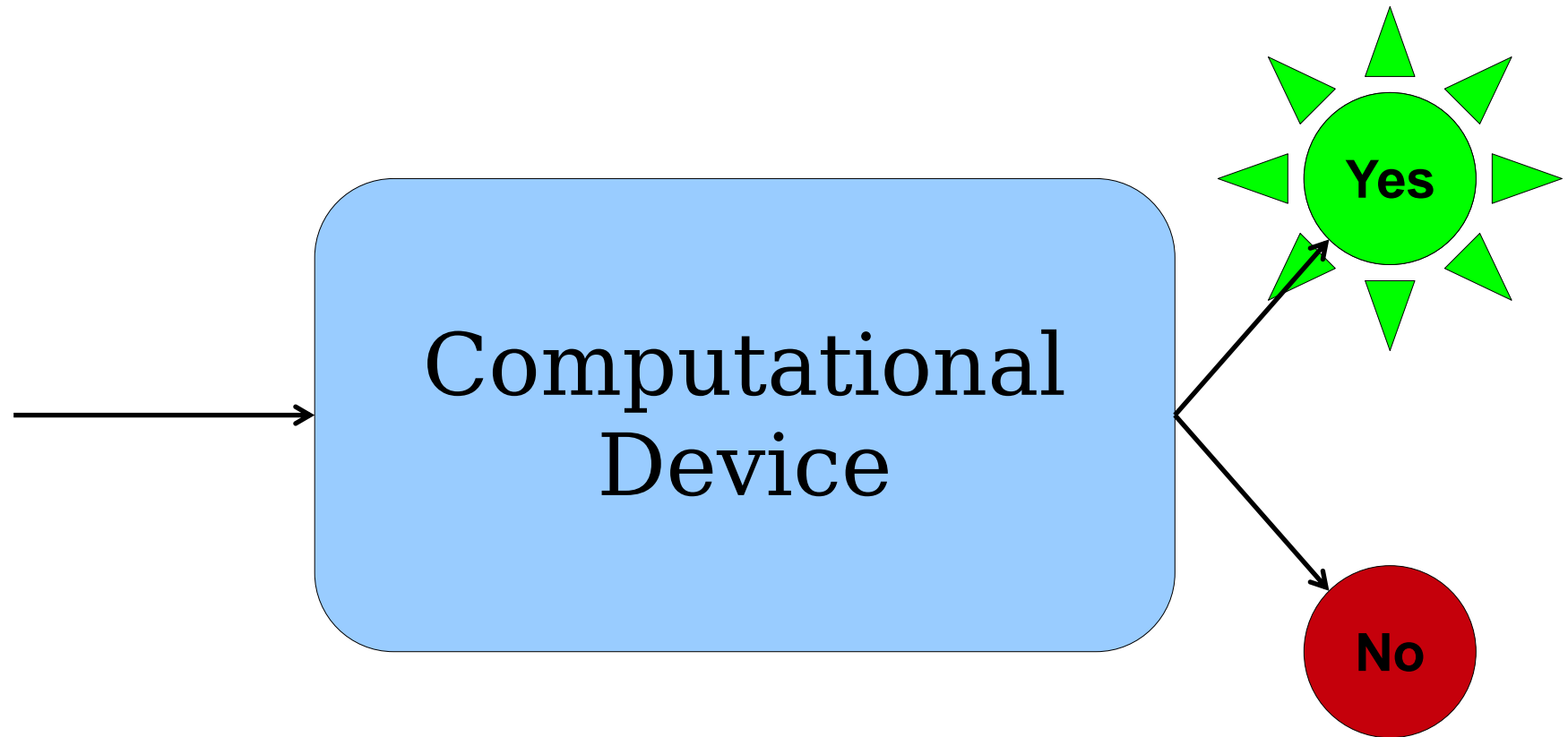
You're given a transportation grid and a number  $k$ .  
Is there a way to place emergency supplies in at most  $k$  cities so that every city either has emergency supplies or is adjacent to a city that has emergency supplies?

# A Model for Solving Problems

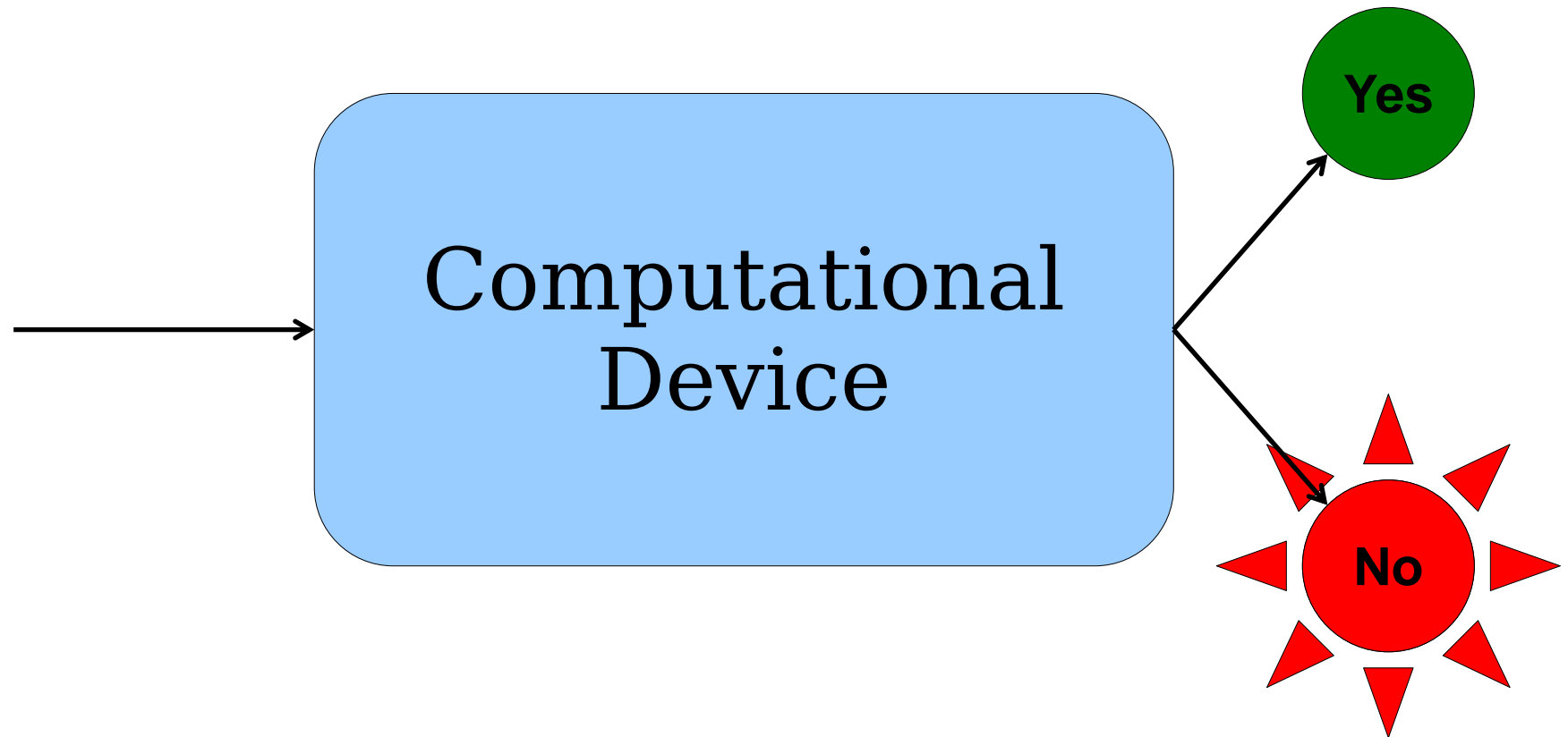




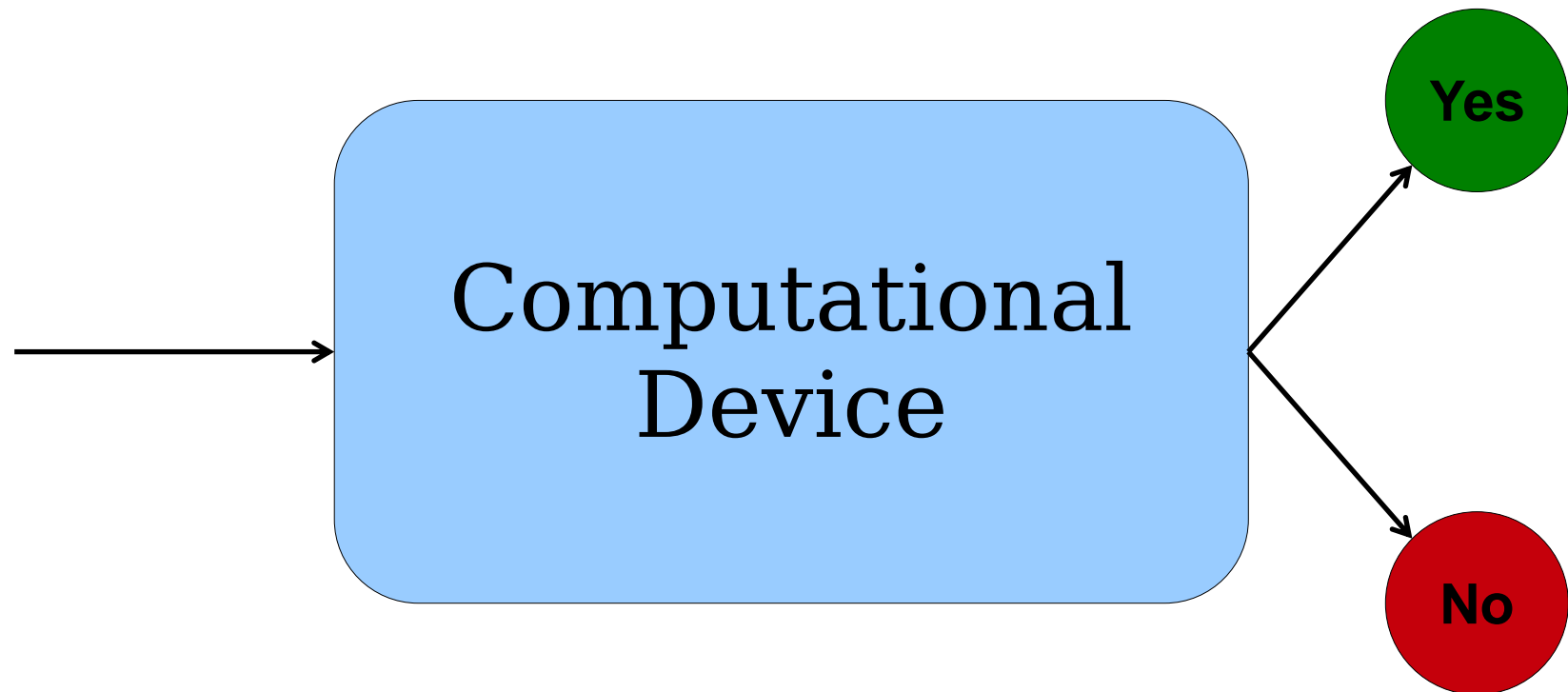
# A Model for Solving Problems



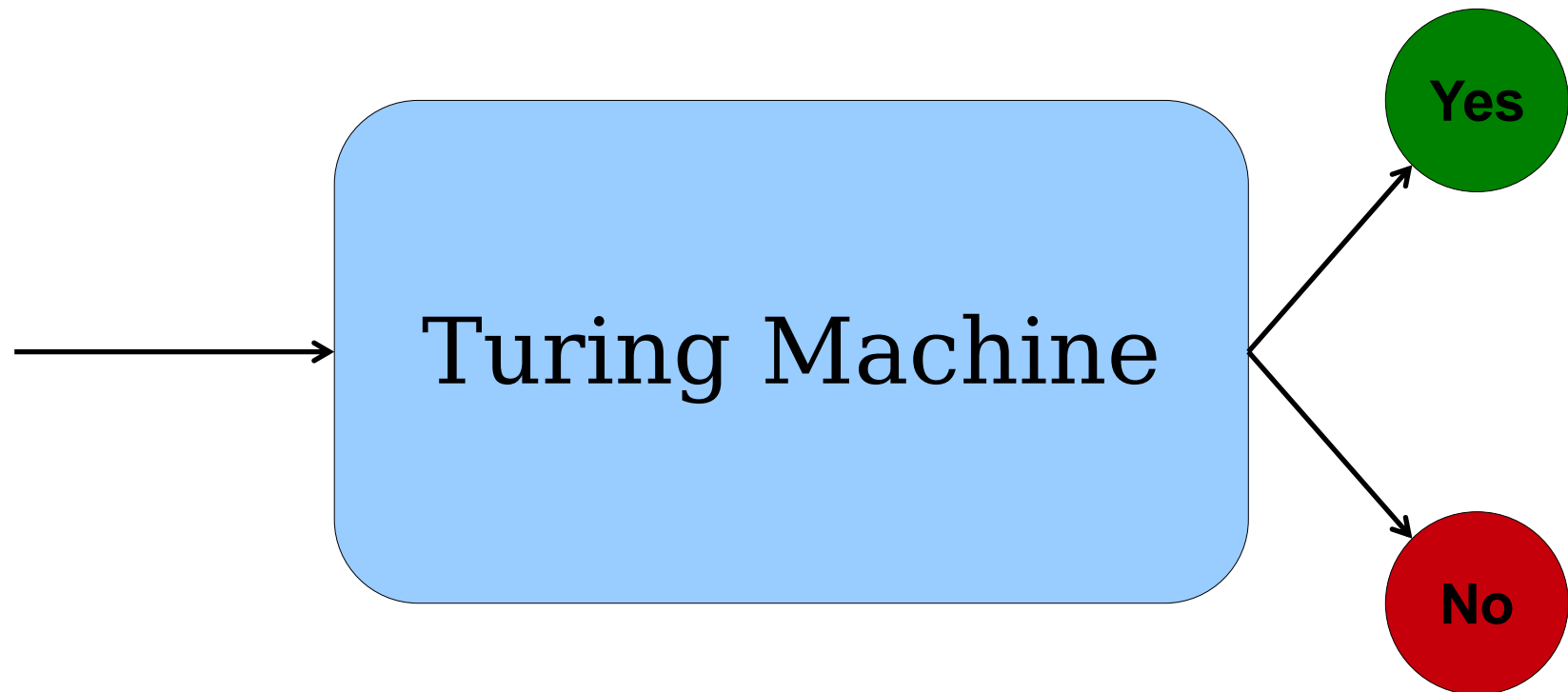
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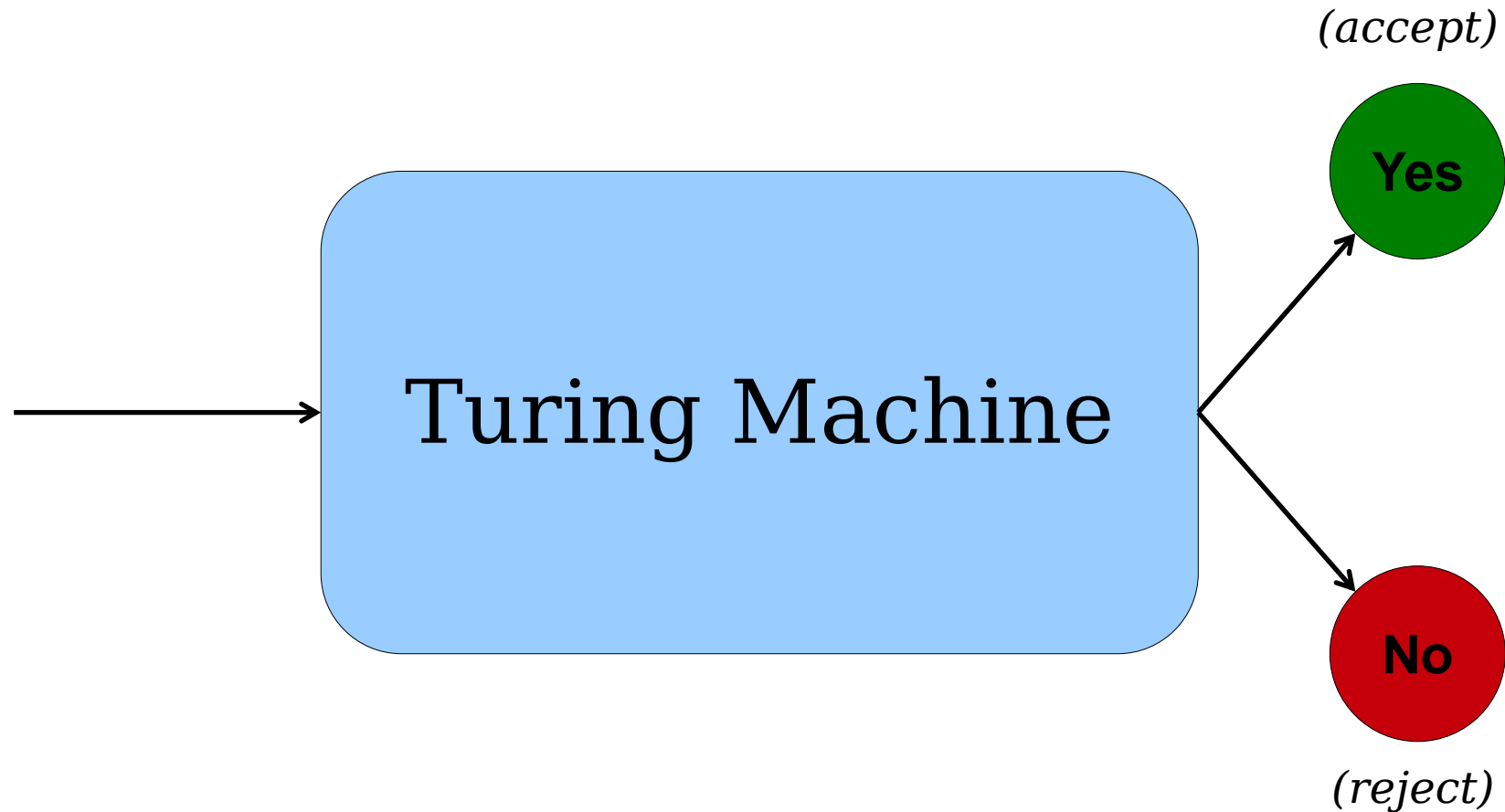
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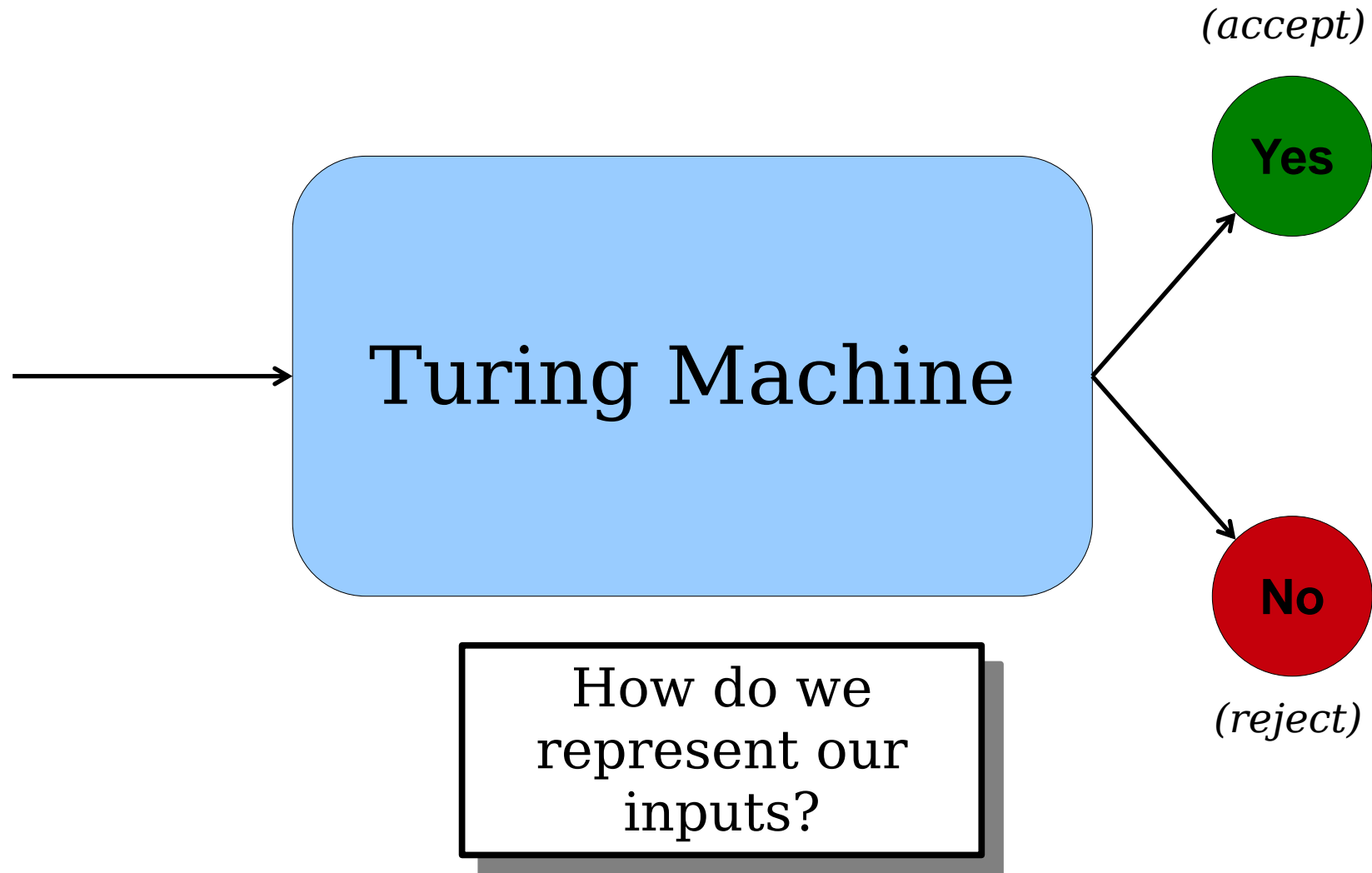
# A Model for Solving Problems



# A Model for Solving Problems



# A Model for Solving Problems



Humbling Thought:

***Everything on your computer is a string over {0, 1}.***

# Strings and Objects

Think about how my computer encodes the image on the right.

Internally, it's just a series of zeros and ones sitting on my hard drive.





# Strings and Objects

A different sequence of 0s and 1s gives rise to the image on the right.

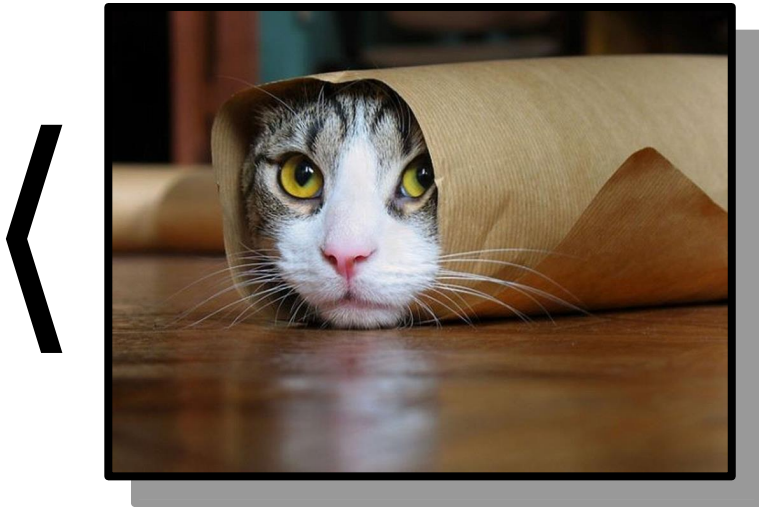
Every image can be encoded as a sequence of 0s and 1s, though not all sequences of 0s and 1s correspond to images.



# Object Encodings

If  $Obj$  is some mathematical object that is *discrete* and *finite*, then we'll use the notation  $\langle Obj \rangle$  to refer to some way of encoding that object as a string.

Think of  $\langle Obj \rangle$  like a file on disk – it encodes some high-level object as a series of characters.



= 11011100101110111100010011...110

# Object Encodings

If *Obj* is some mathematical object that is *discrete* and *finite*, then we'll use the notation  $\langle \mathbf{Obj} \rangle$  to refer to some way of encoding that object as a string.

Think of  $\langle \mathbf{Obj} \rangle$  like a file on disk – it encodes some high-level object as a series of characters.



$\langle \mathbf{Obj} \rangle = 00110101000101000101000100\dots001$

# Object Encodings

For the purposes of what we're going to be doing, we aren't going to worry about exactly *how* objects are encoded.

For example, we can say  $\langle 137 \rangle$  to mean “some encoding of 137” without worrying about how it's encoded.

Analogy: do you need to know how the `int` type is represented in C++ to do basic C++ programming? That's more of a CS107 question.

We'll assume, whenever we're dealing with encodings, that some Smart, Attractive, Witty person has figured out an encoding system for us and that we're using that encoding system.

# Encoding Groups of Objects

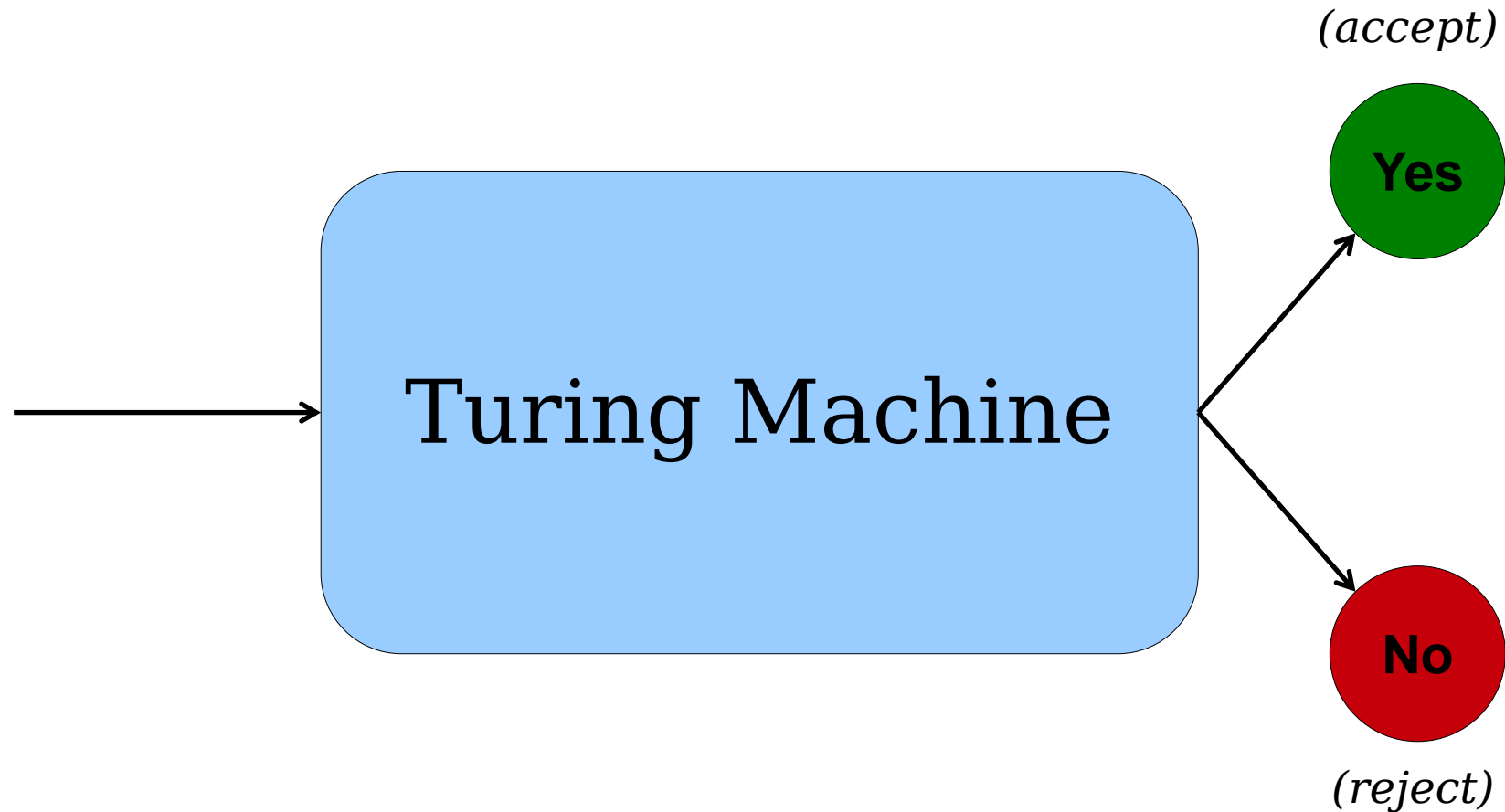
Given a group of objects  $Obj_1, Obj_2, \dots, Obj_n$ , we can create a single string encoding all these objects.

- Think of it like a .zip file, but without the compression.

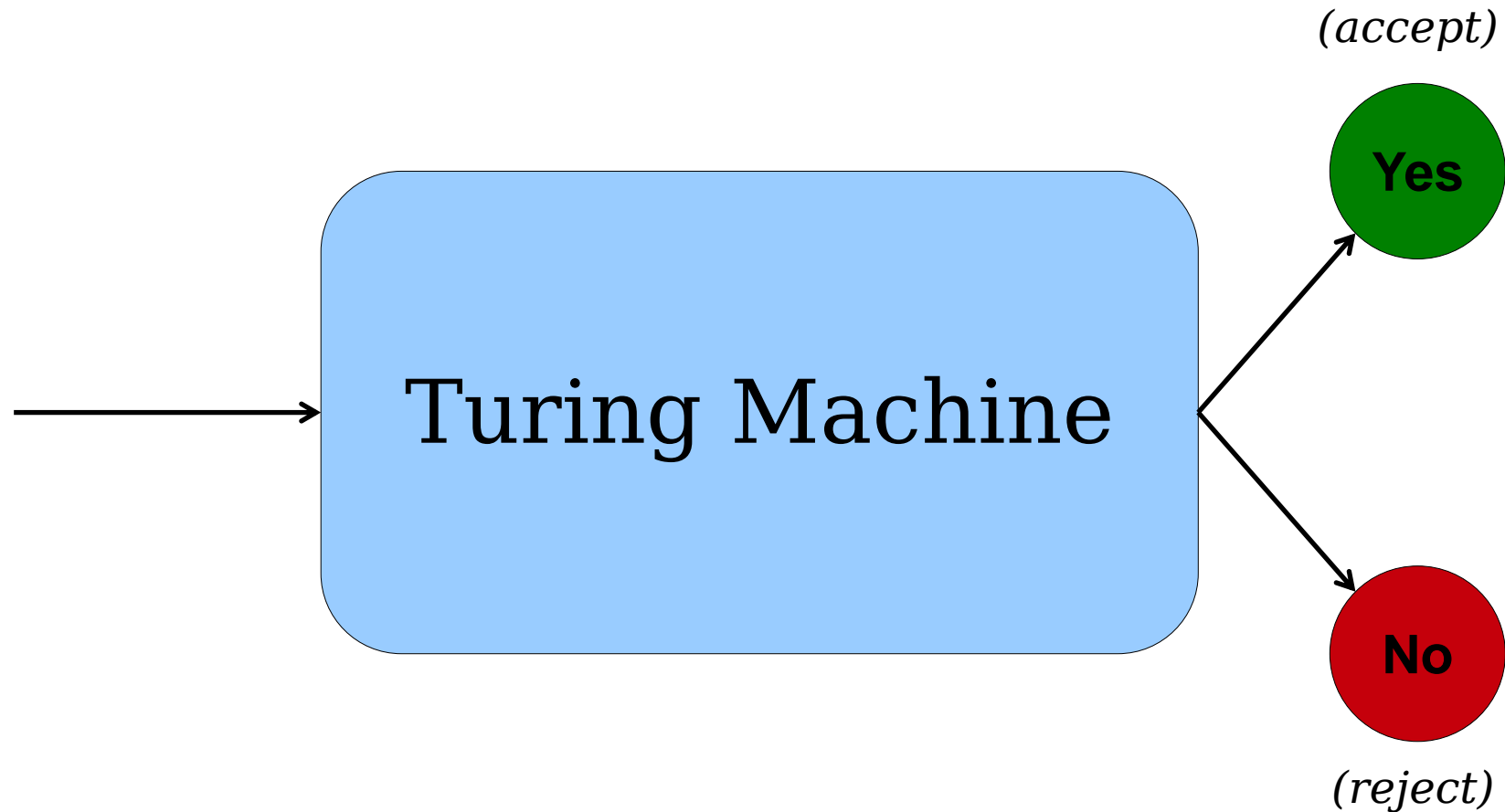
We'll denote the encoding of all of these objects as a single string by  **$\langle Obj_1, \dots, Obj_n \rangle$** .

This lets us feed multiple inputs into our computational device at the same time.

# A Model for Solving Problems



# A Model for Solving Problems



What problems can we solve with a computer?



# Emergent Properties

# Emergent Properties

An ***emergent property*** of a system is a property that arises out of smaller pieces that doesn't seem to exist in any of the individual pieces.

Examples:

- Individual neurons work by firing in response to particular combinations of inputs. Somehow, this leads to consciousness, love, and ennui.
- Individual atoms obey the laws of quantum mechanics and just interact with other atoms. Somehow, it's possible to combine them together to make iPhones and pumpkin pie.

# Emergent Properties of Computation

- All computing systems equal to Turing machines exhibit several surprising emergent properties.
- If we believe the Church-Turing thesis, these emergent properties are, in a sense, “inherent” to computation. Computation can’t exist without them.
- These emergent properties are what ultimately make computation so interesting and so powerful.
- As we'll see, though, they're also computation's Achilles heel – they're how we find concrete examples of impossible problems.

# Two Emergent Properties

There are two key emergent properties of computation that we will discuss:

- ***Universality***: There is a single computing device capable of performing any computation.
- ***Self-Reference***: Computing devices can ask questions about their own behavior.

As you'll see, the combination of these properties leads to simple examples of impossible problems and elegant proofs of impossibility.

# Universal Machines

# An Observation

When we've been discussing Turing machines, we've talked about designing specific TMs to solve specific problems.

Does this match your real-world experiences? Do you have one computing device for each task you need to perform?

Can we make a “reprogrammable  
Turing machine?”

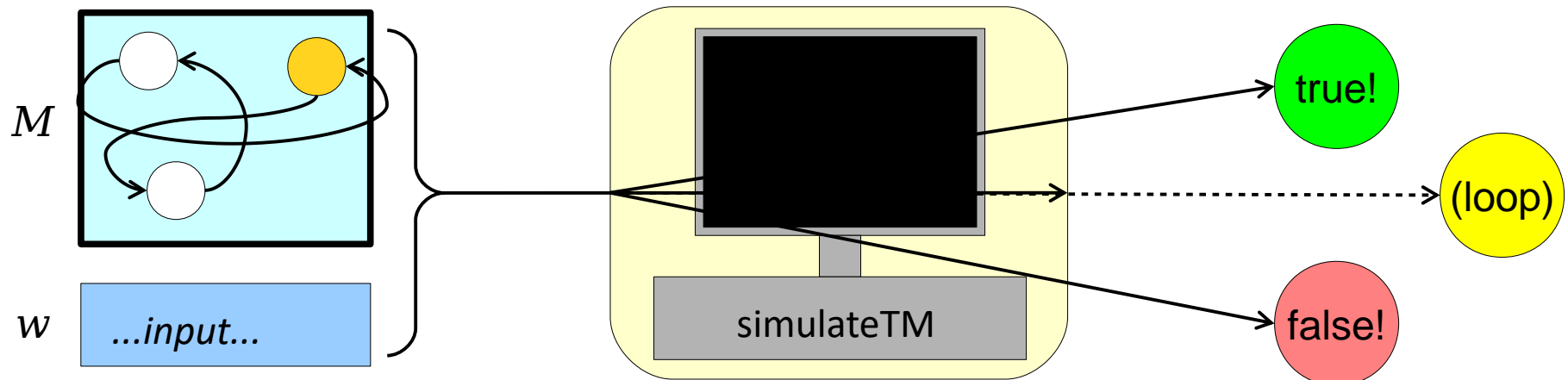
# A TM Simulator

- It is possible to program a TM simulator on an unbounded-memory computer.
- We could imagine it as a method

**boolean** simulateTM(TM M, string w)

with the following behavior:

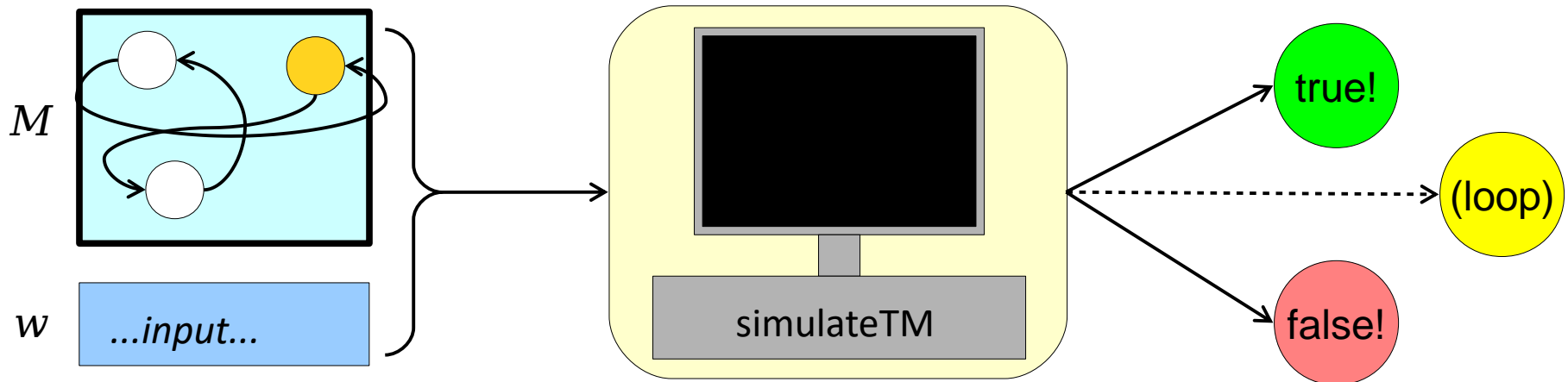
- If  $M$  accepts  $w$ , then  $\text{simulateTM}(M, w)$  returns **true**.
- If  $M$  rejects  $w$ , then  $\text{simulateTM}(M, w)$  returns **false**.
- If  $M$  loops on  $w$ , then  $\text{simulateTM}(M, w)$  loops infinitely.





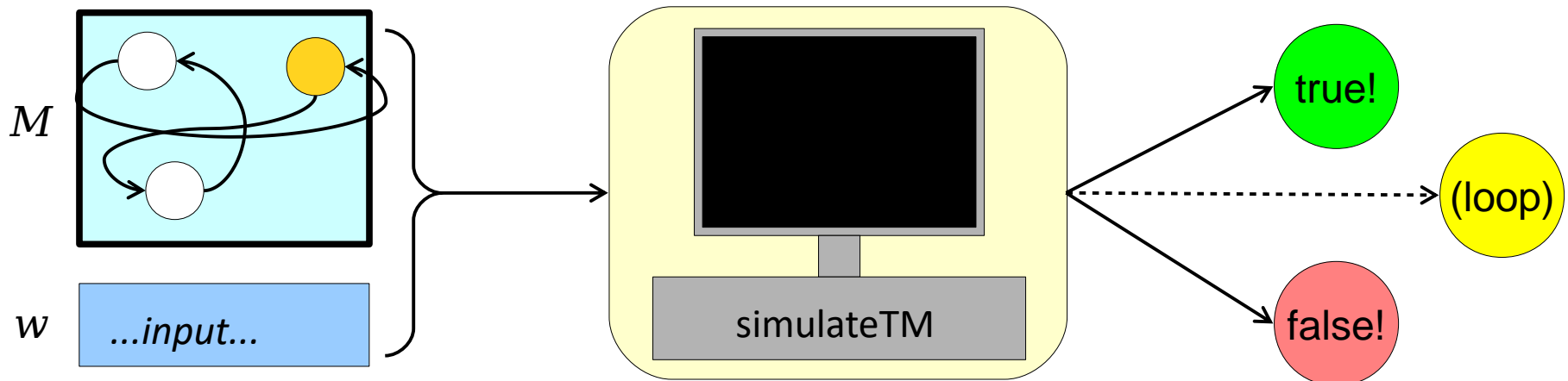
# A TM Simulator

- It is known that anything that can be done with an unbounded-memory computer can be done with a TM.



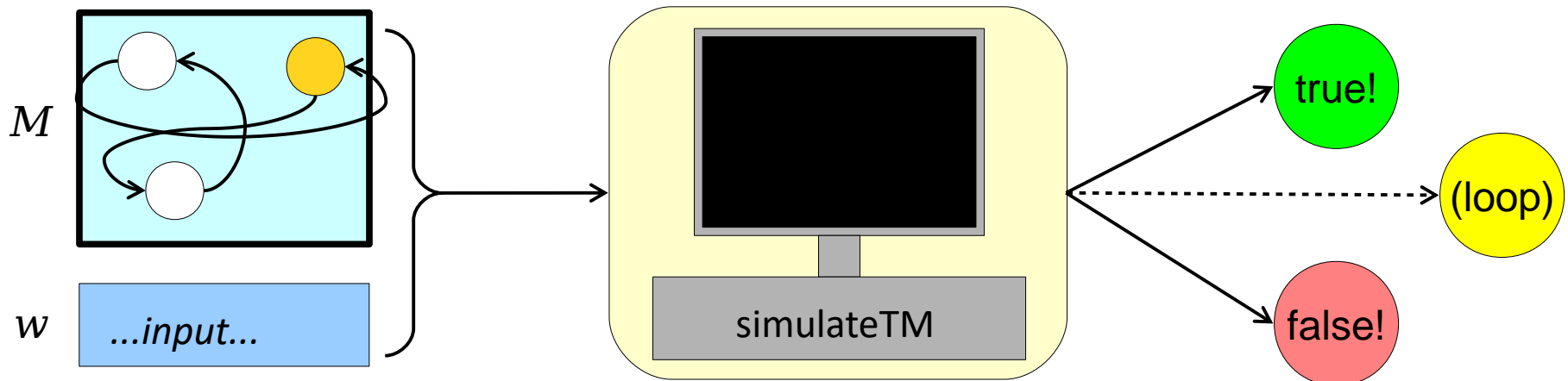
# A TM Simulator

- It is known that anything that can be done with an unbounded-memory computer can be done with a TM.
- This means that there must be some TM that has the behavior of this `simulateTM` method.



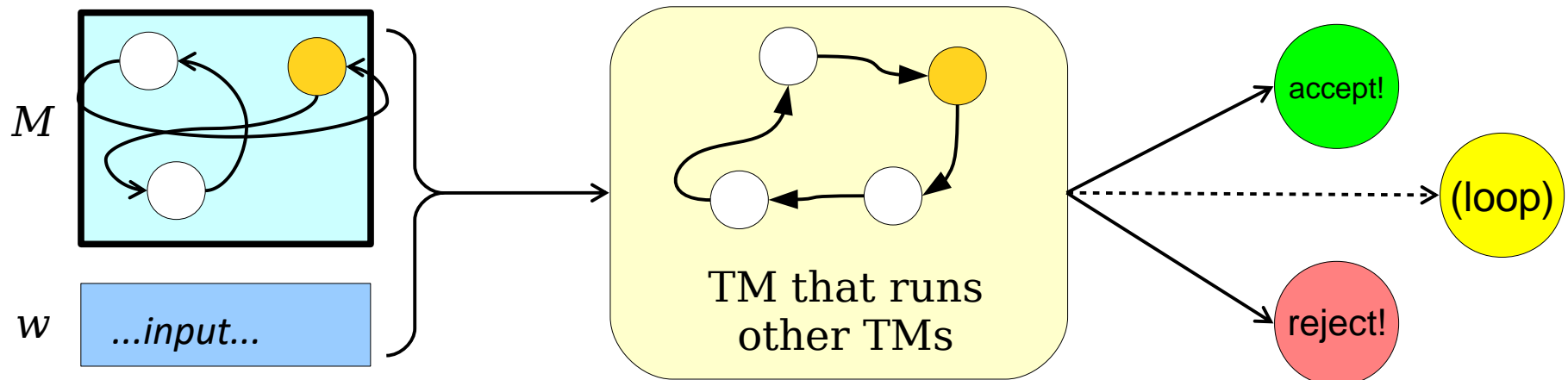
# A TM Simulator

- It is known that anything that can be done with an unbounded-memory computer can be done with a TM.
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- What would that look like?



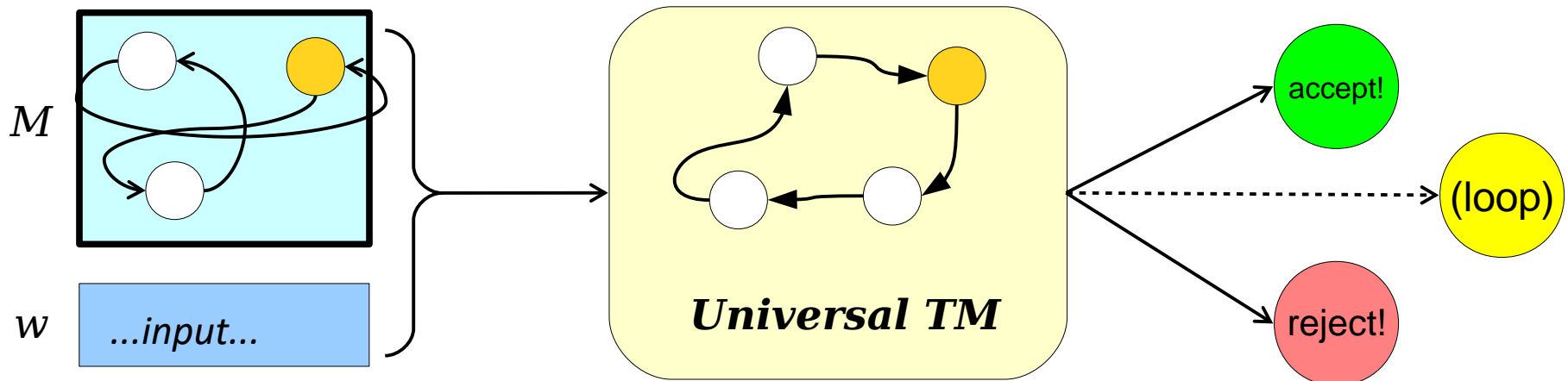
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# A TM Simulator

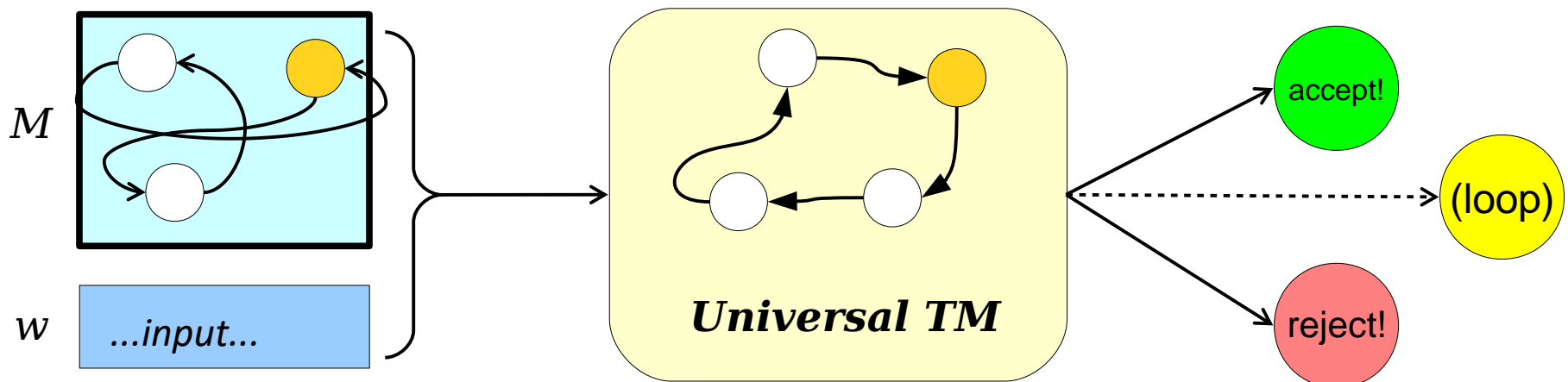
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# The Universal Turing Machine

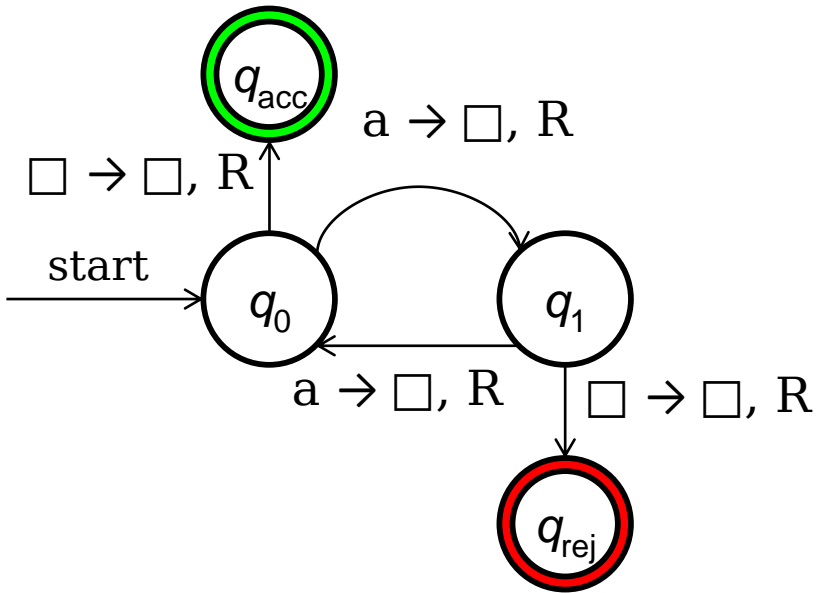
- **Theorem (Turing, 1936):** There is a Turing machine  $U_{TM}$  called the **universal Turing machine** that, when run on an input of the form  $\langle M, w \rangle$ , where  $M$  is a Turing machine and  $w$  is a string, simulates  $M$  running on  $w$  and does whatever  $M$  does on  $w$  (accepts, rejects, or loops).
- The observable behavior of  $U_{TM}$  is the following:
  - If  $M$  accepts  $w$ , then  $U_{TM}$  accepts  $\langle M, w \rangle$ .
  - If  $M$  rejects  $w$ , then  $U_{TM}$  rejects  $\langle M, w \rangle$ .
  - If  $M$  loops on  $w$ , then  $U_{TM}$  loops on  $\langle M, w \rangle$ .

$M$  does to  $w$   
what  
 $U_{TM}$  does to  $\langle M, w \rangle$ .



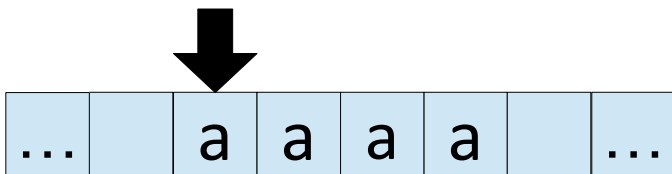
# $U_{TM}$ , Schematically

Machine  $M$



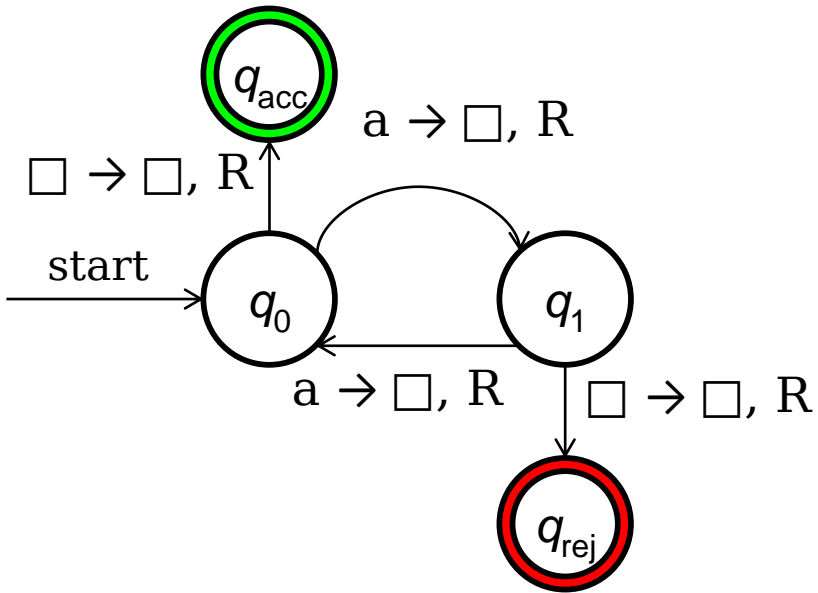
Imagine you have some machine  $M$   
(like a program) that you want to run  
on input  $w$ .

Input  $w$



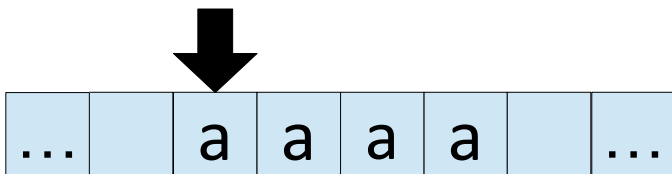
# $U_{TM}$ , Schematically

Machine  $M$



Take  $M$  and write it down as a string  
(think like encoding the finite state  
control as a table)

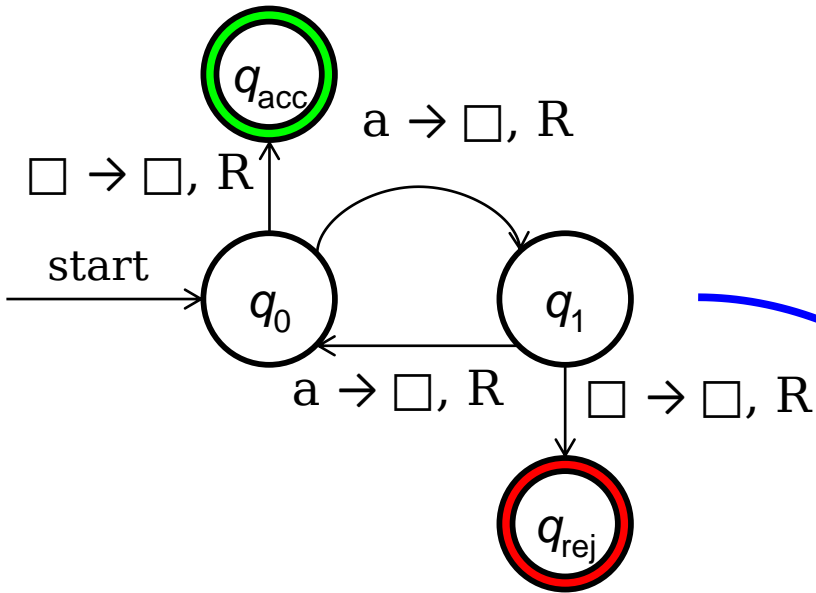
Input  $w$





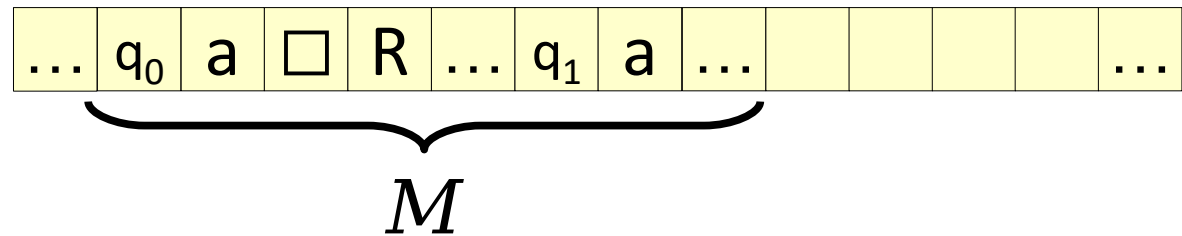
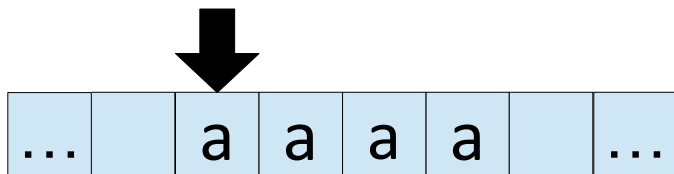
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Machine  $M$



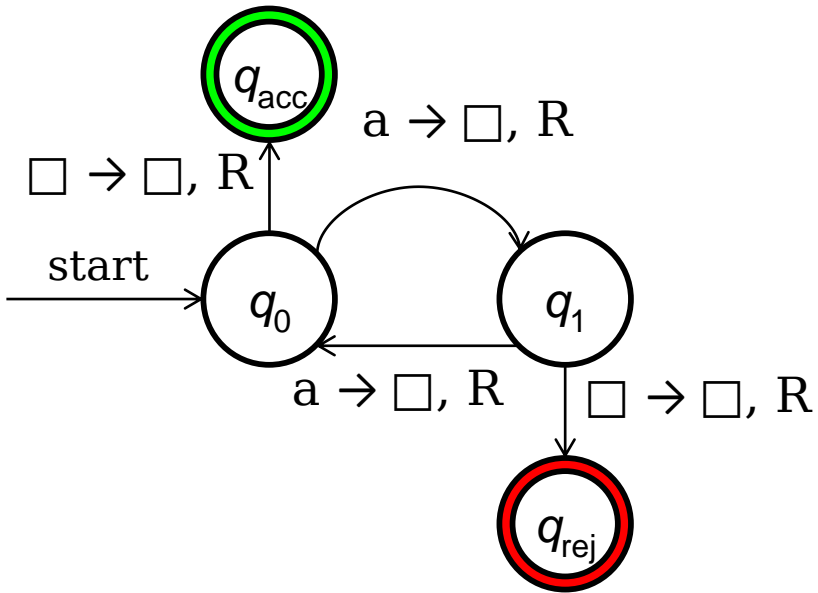
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Input  $w$



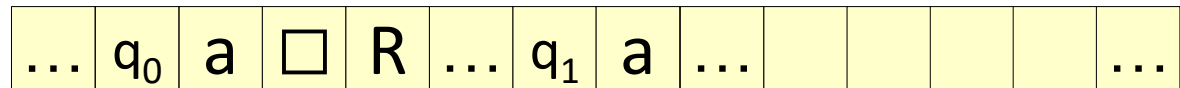
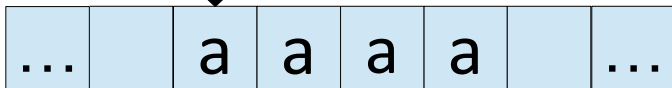
# $U_{TM}$ , Schematically

Machine  $M$



Now take your input  $w$  and write it down too.

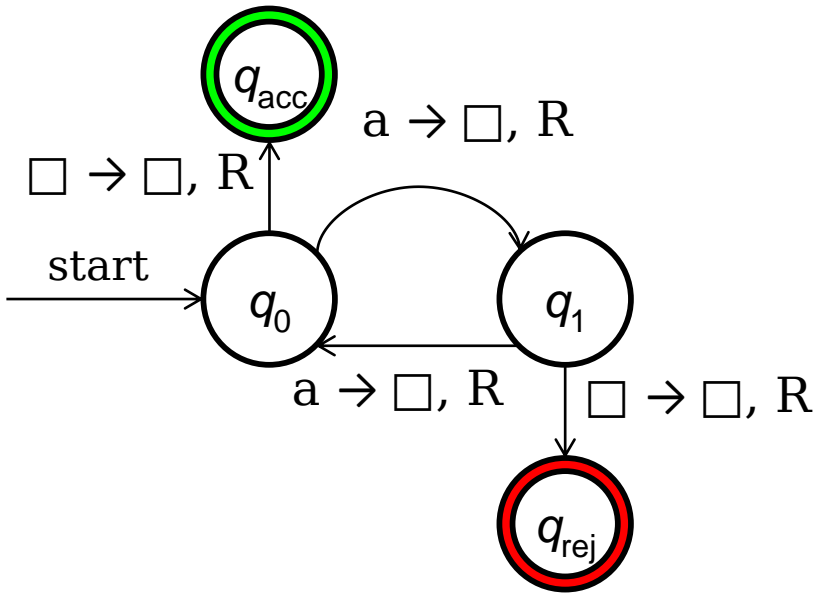
Input  $w$



$M$

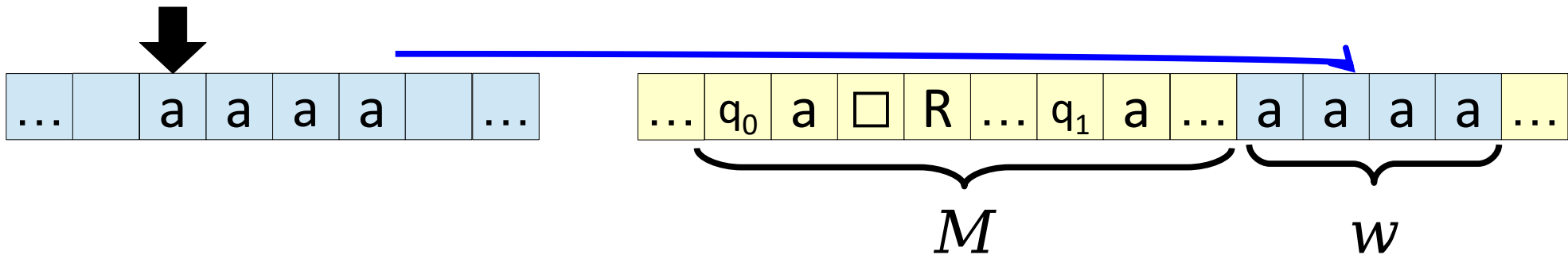
# $U_{TM}$ , Schematically

Machine  $M$



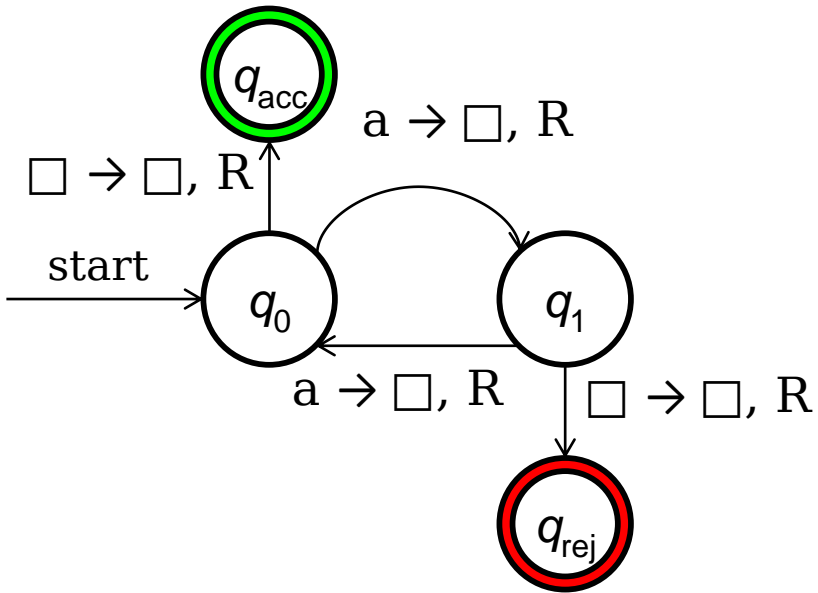
Now take your input  $w$  and write it down too.

Input  $w$



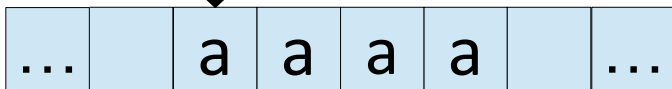
# $U_{TM}$ , Schematically

Machine  $M$

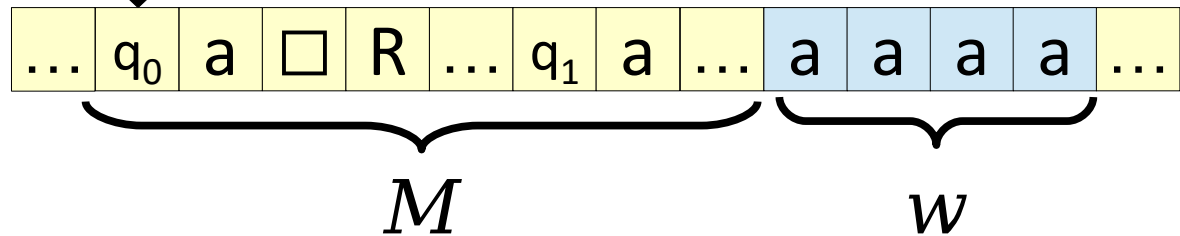


Feed this into  $U_{TM}$ .

Input  $w$

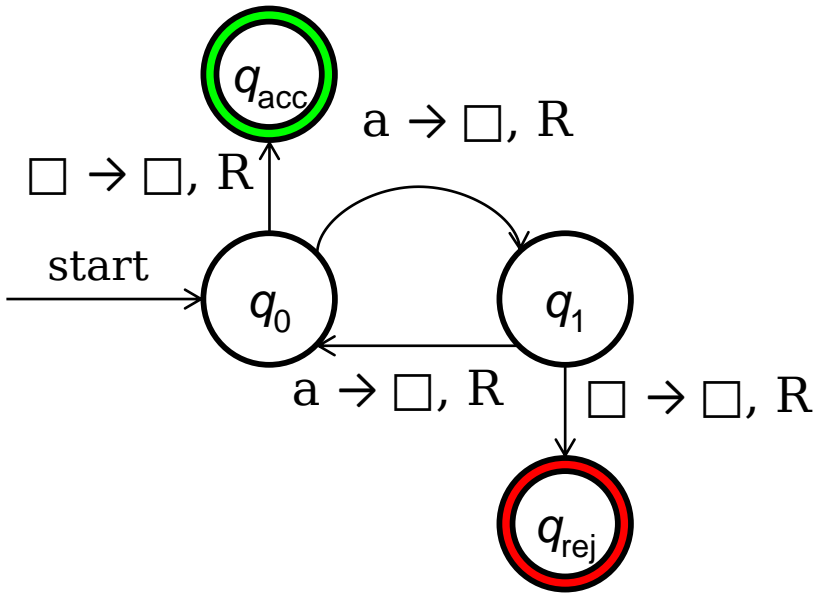


Input  $\langle M, w \rangle$

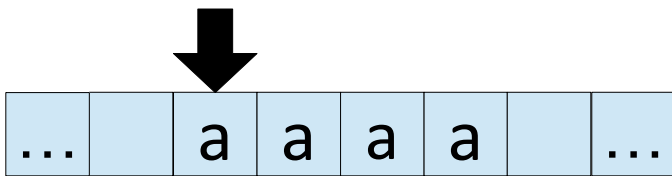


# $U_{TM}$ , Schematically

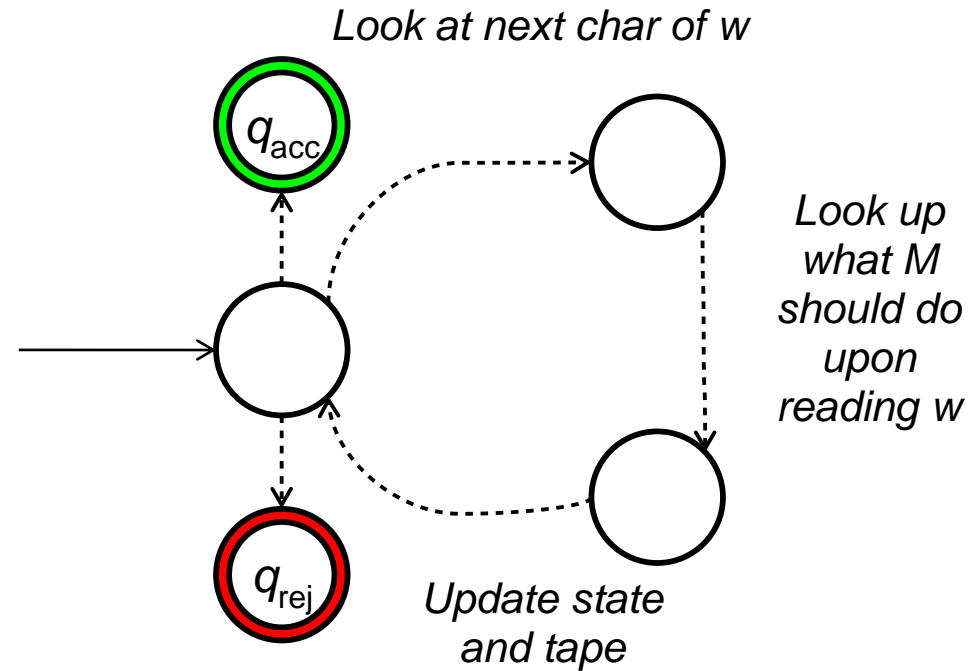
Machine  $M$



Input  $w$



$U_{TM}$

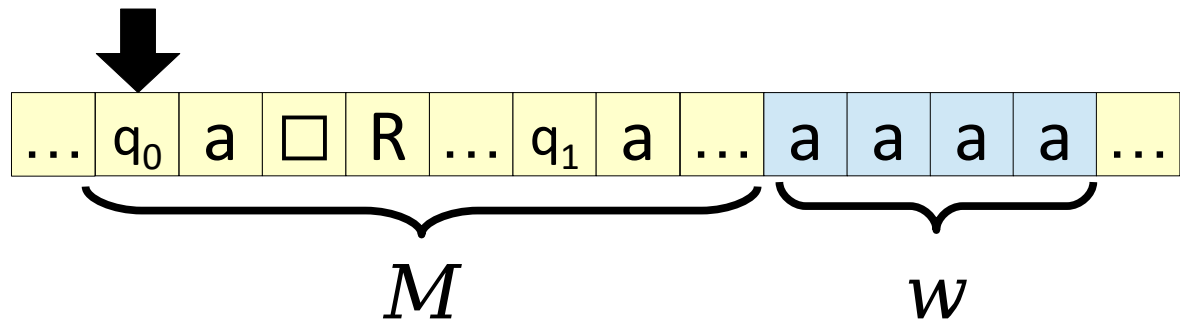


*Look at next char of  $w$*

*Look up what  $M$  should do upon reading  $w$*

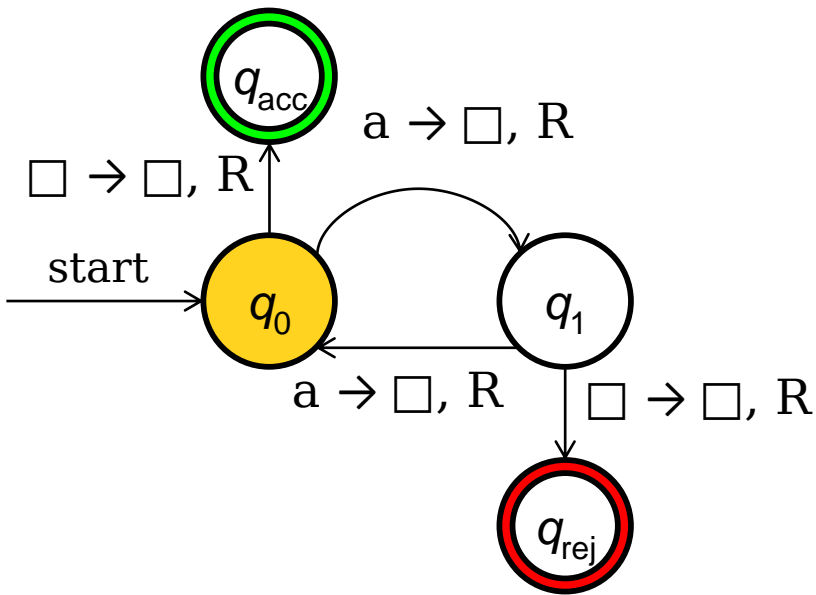
*Update state and tape*

Input  $\langle M, w \rangle$

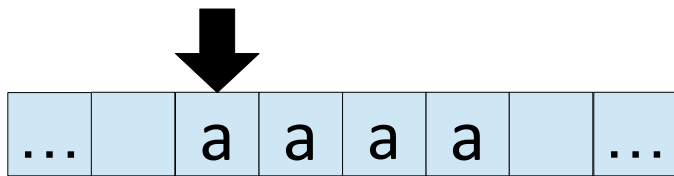


# $U_{TM}$ , Schematically

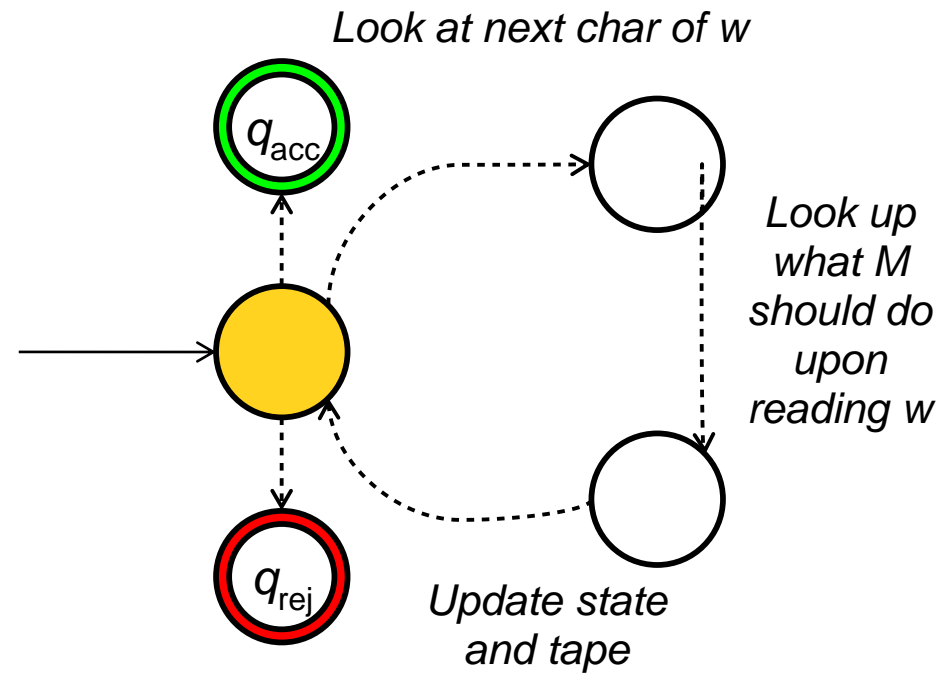
Machine  $M$



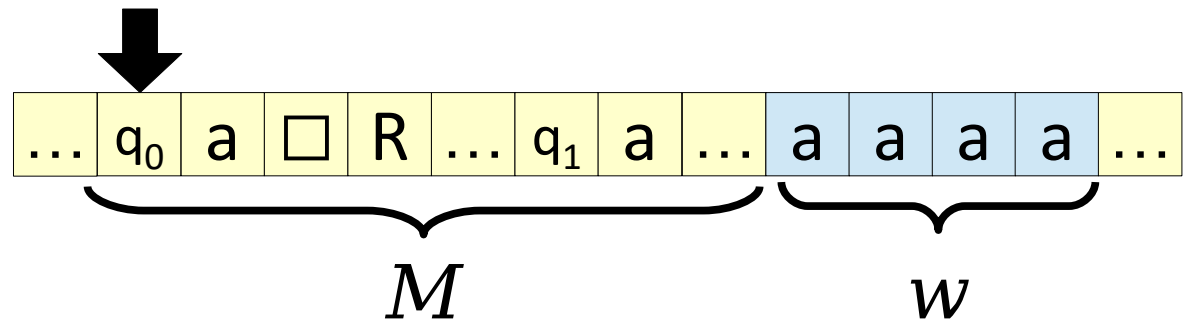
Input  $w$



$U_{TM}$

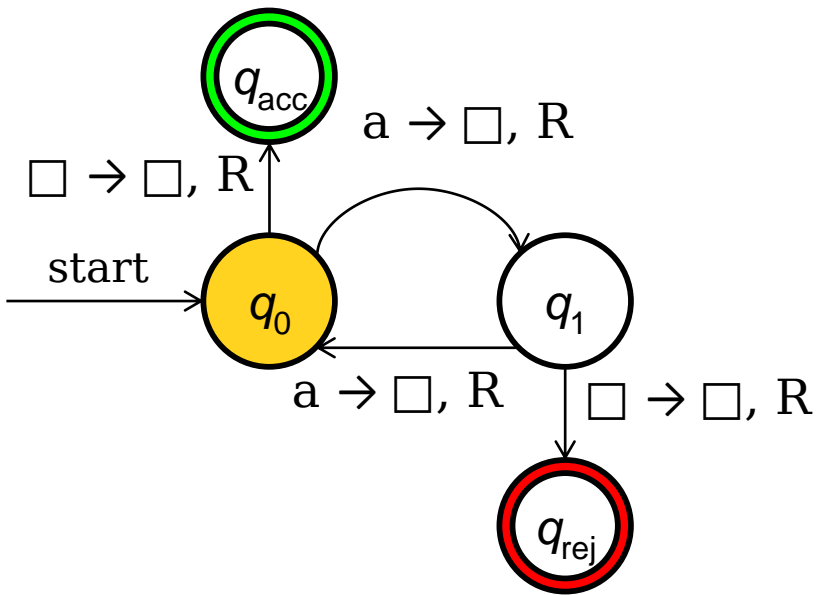


Input  $\langle M, w \rangle$

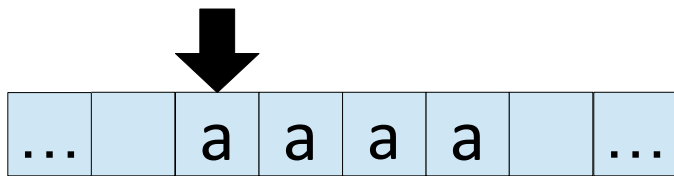


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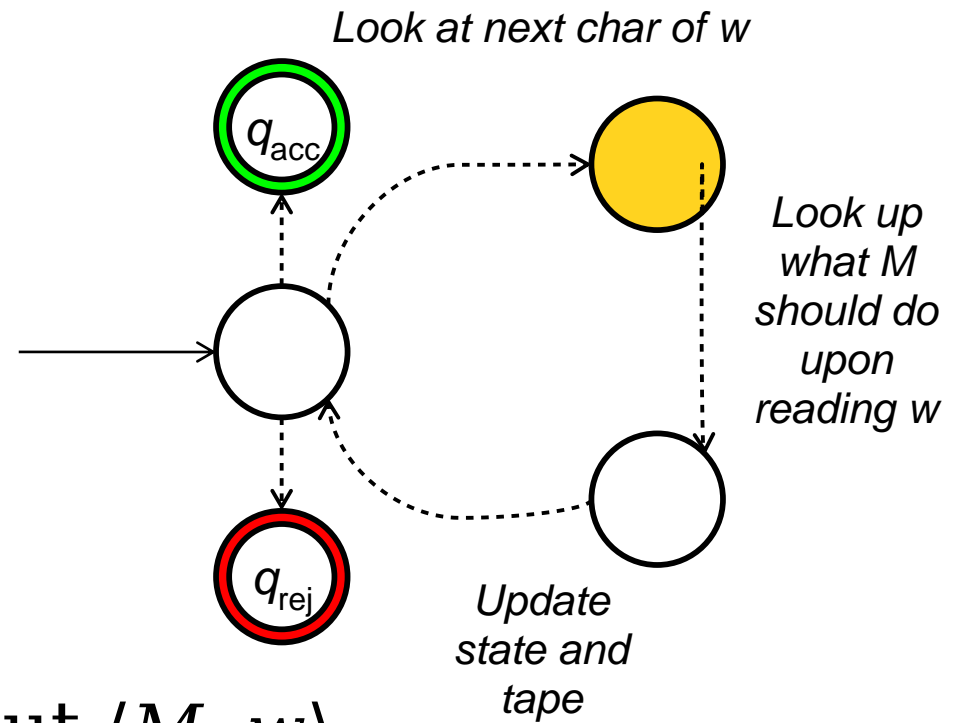
Machine  $M$



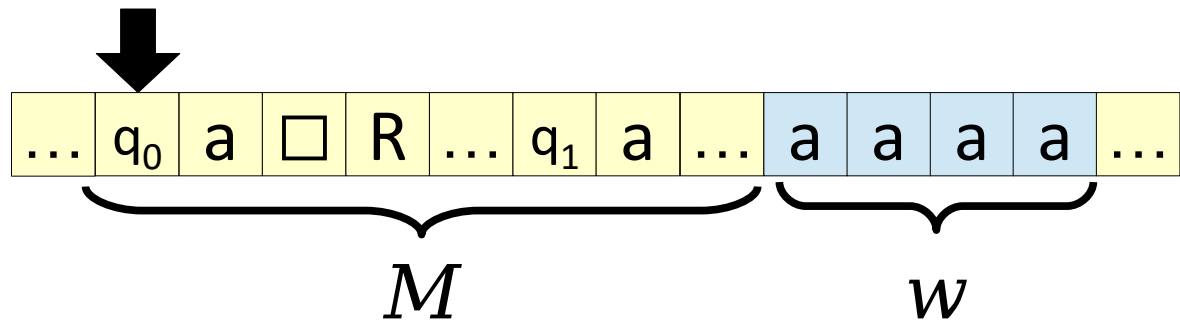
Input  $w$



$U_{TM}$

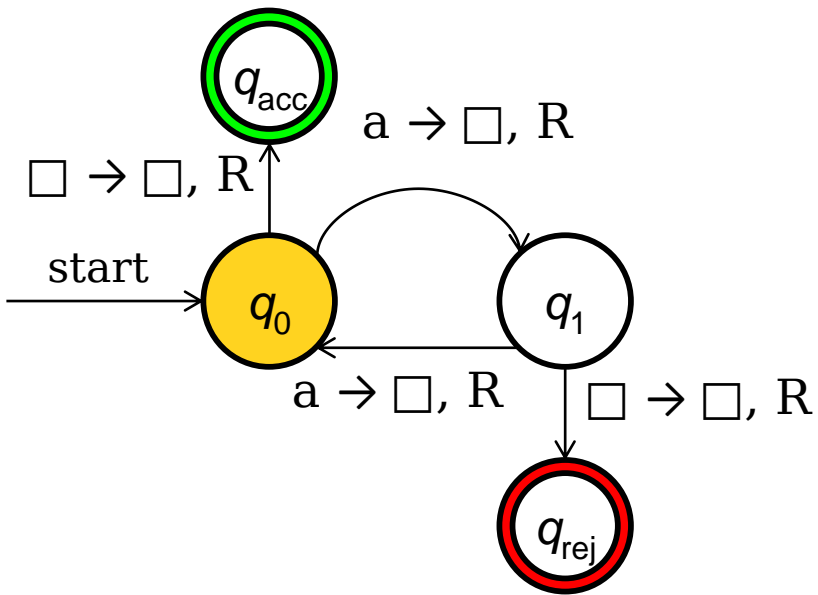


Input  $\langle M, w \rangle$

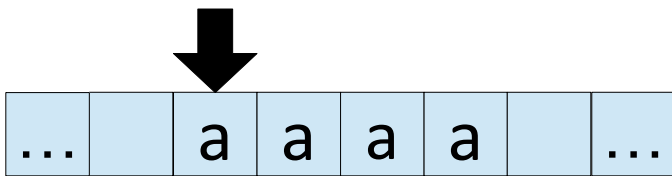


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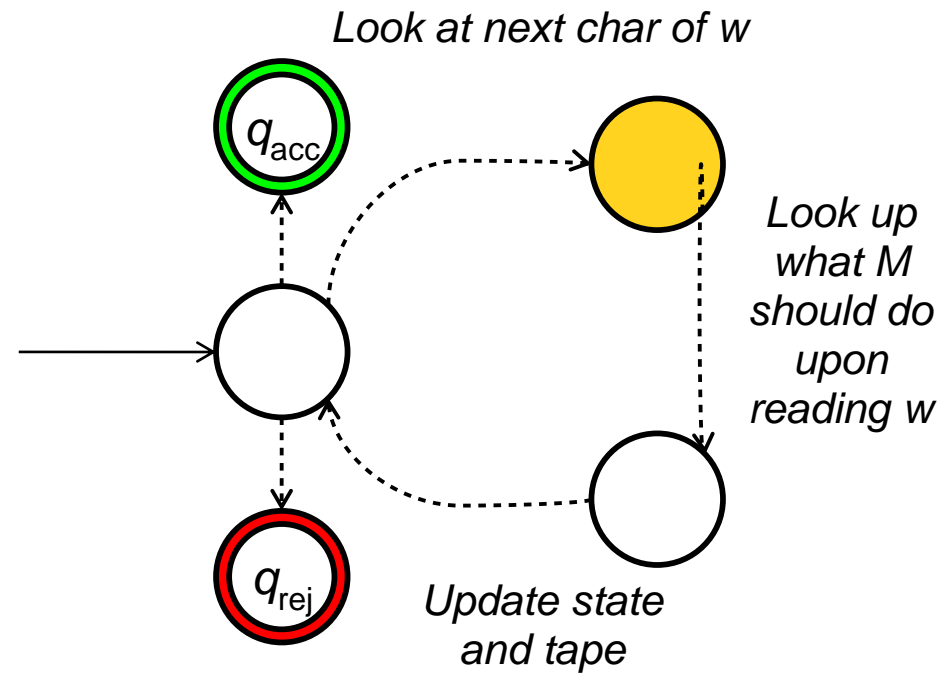
Machine  $M$



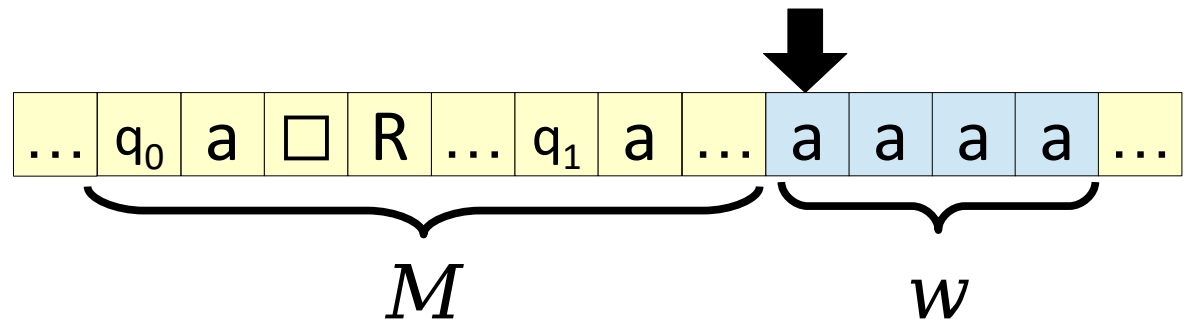
Input  $w$



$U_{TM}$



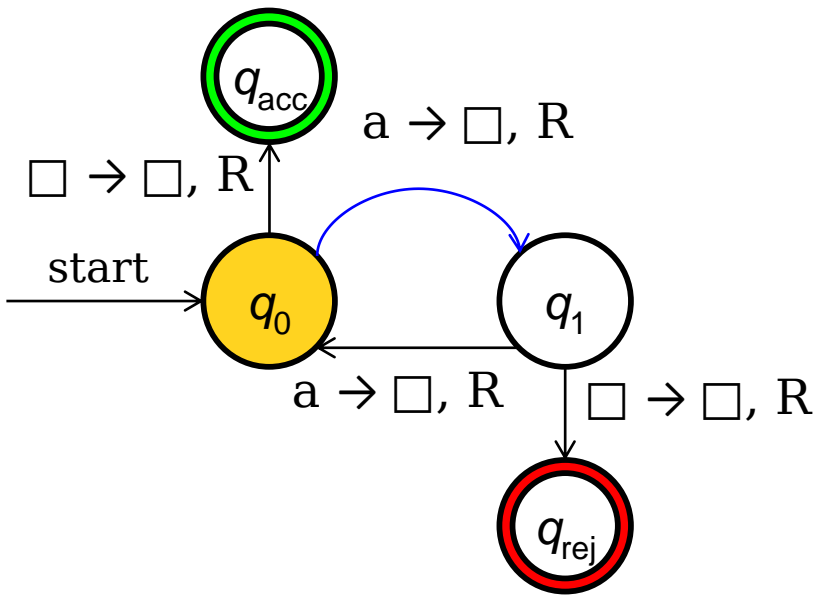
Input  $\langle M, w \rangle$



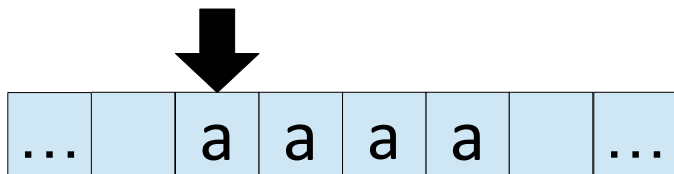


# $U_{TM}$ , Schematically

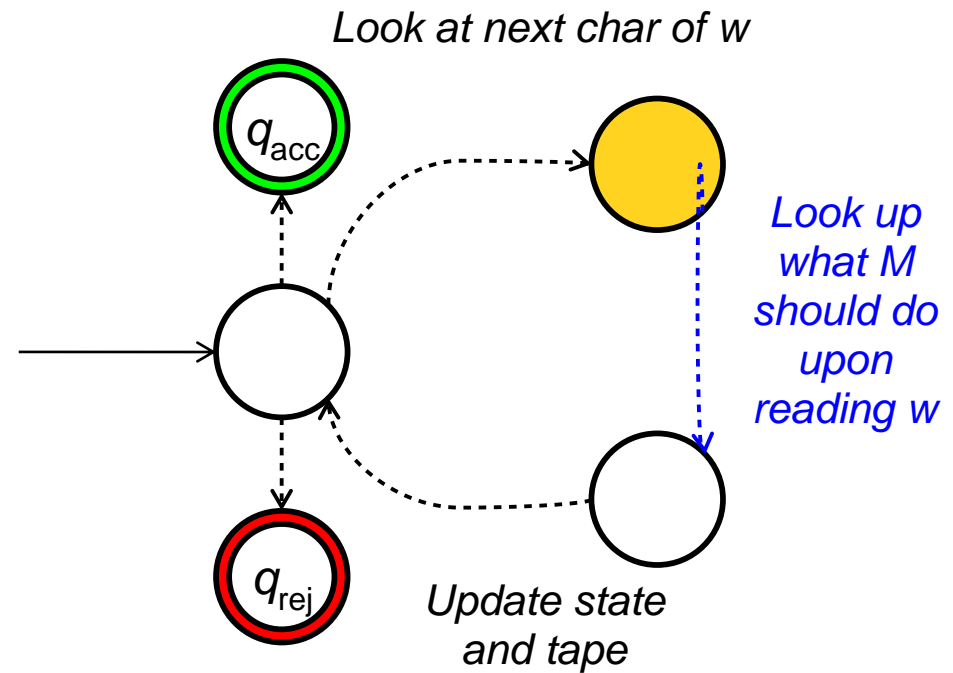
Machine  $M$



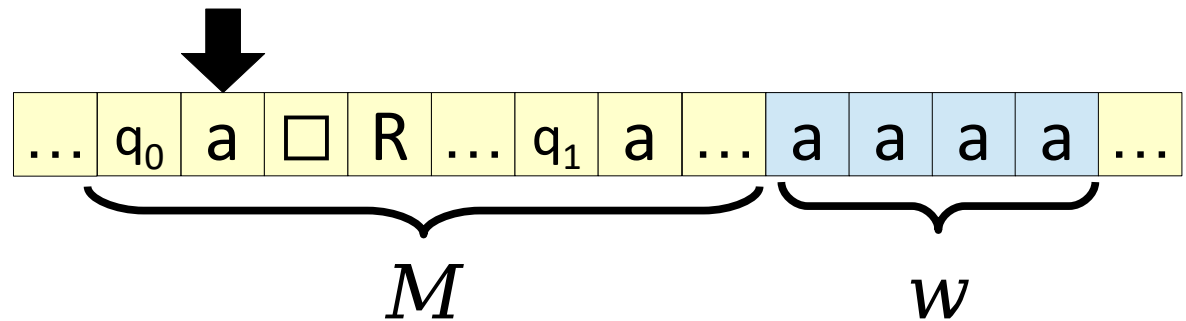
Input  $w$



$U_{TM}$

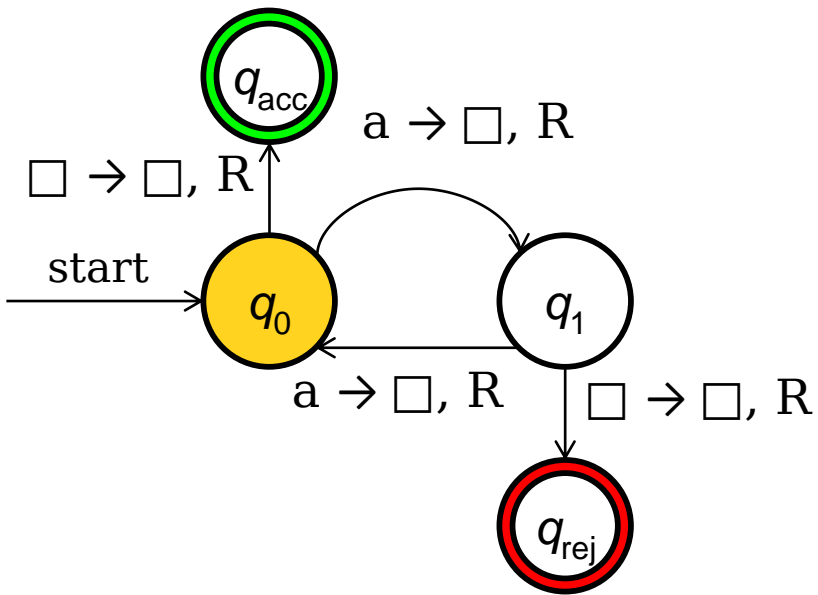


Input  $\langle M, w \rangle$

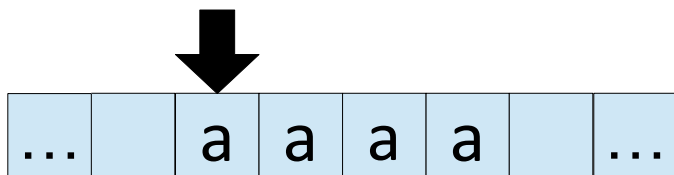


# $U_{TM}$ , Schematically

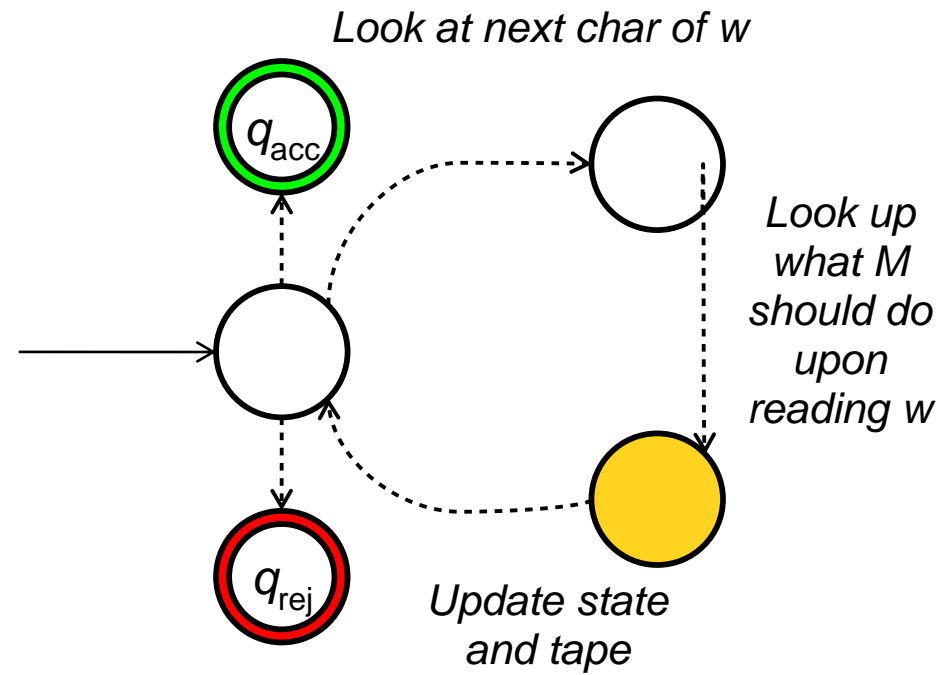
Machine  $M$



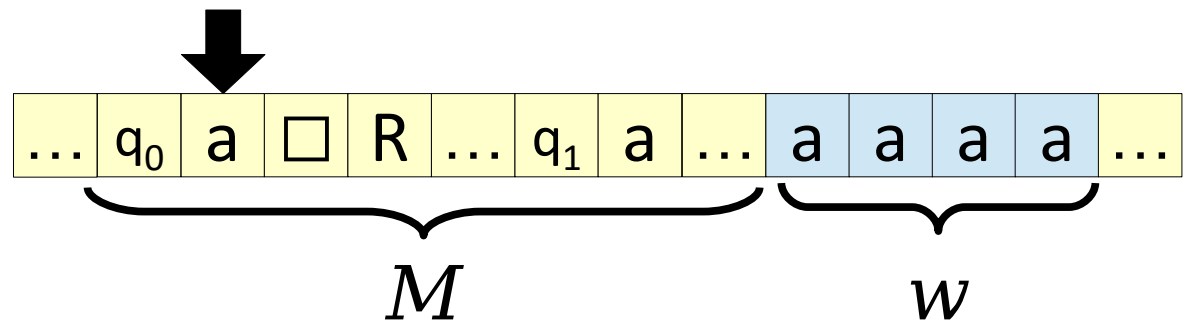
Input  $w$



$U_{TM}$

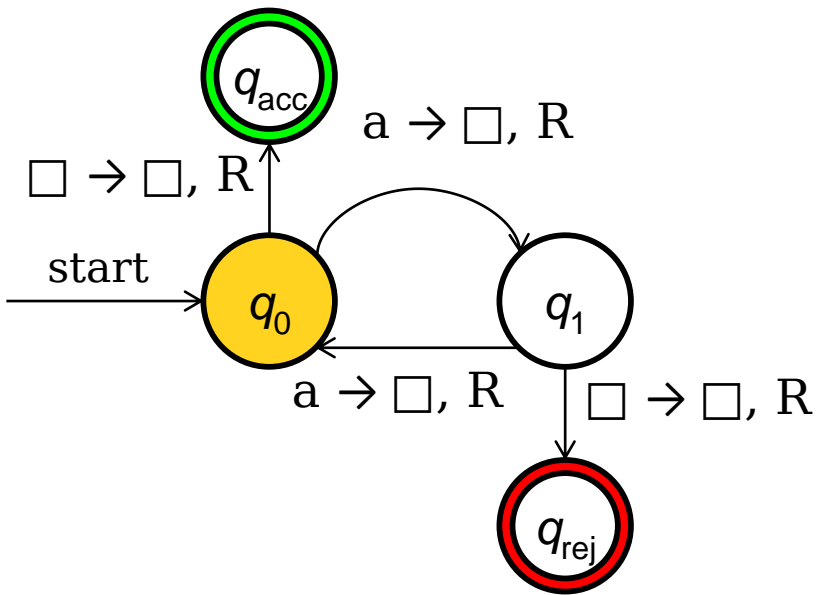


Input  $\langle M, w \rangle$

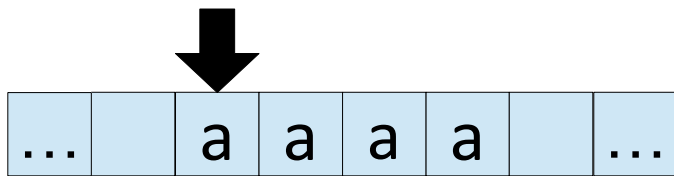


# $U_{TM}$ , Schematically

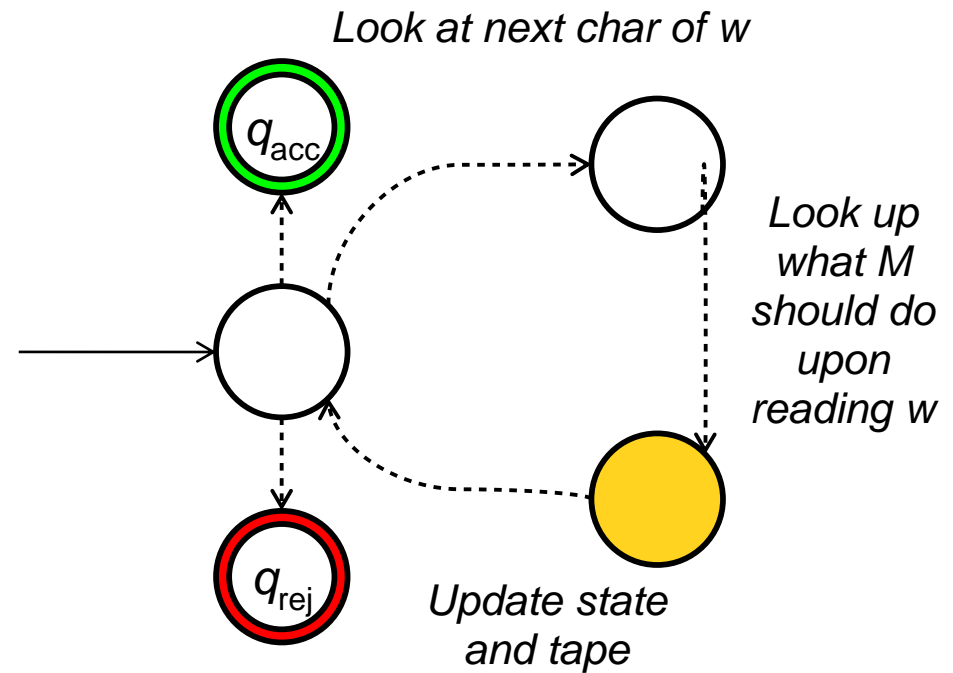
Machine  $M$



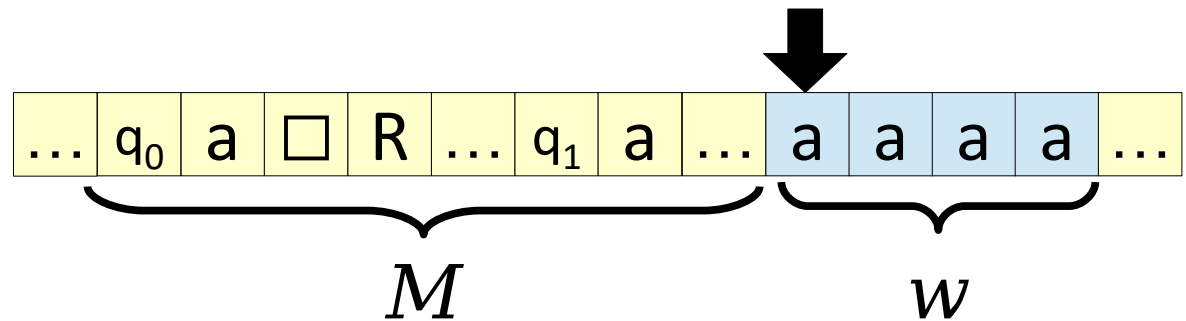
Input  $w$



$U_{TM}$

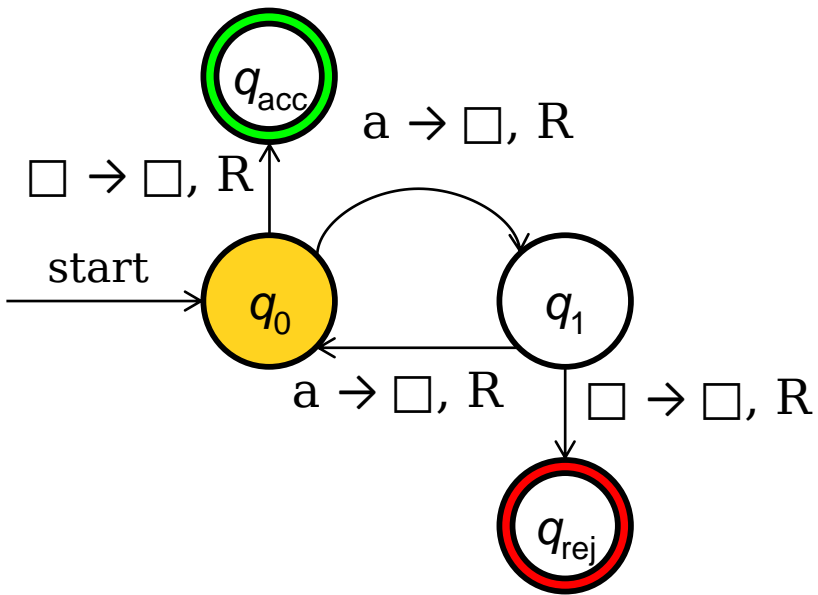


Input  $\langle M, w \rangle$

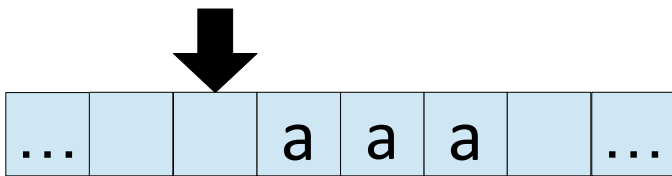


# $U_{TM}$ , Schematically

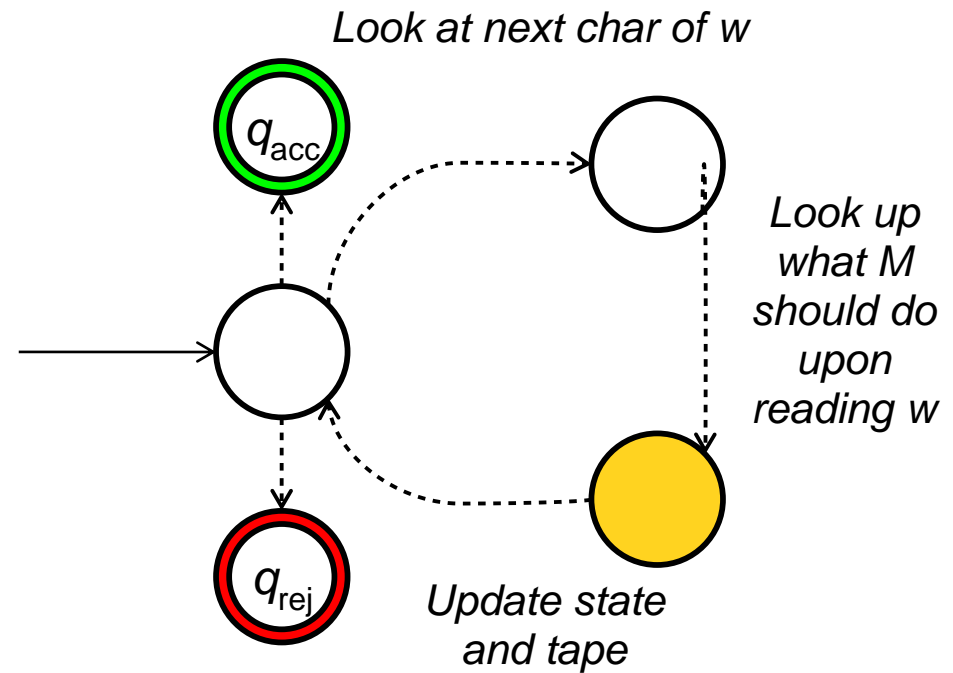
Machine  $M$



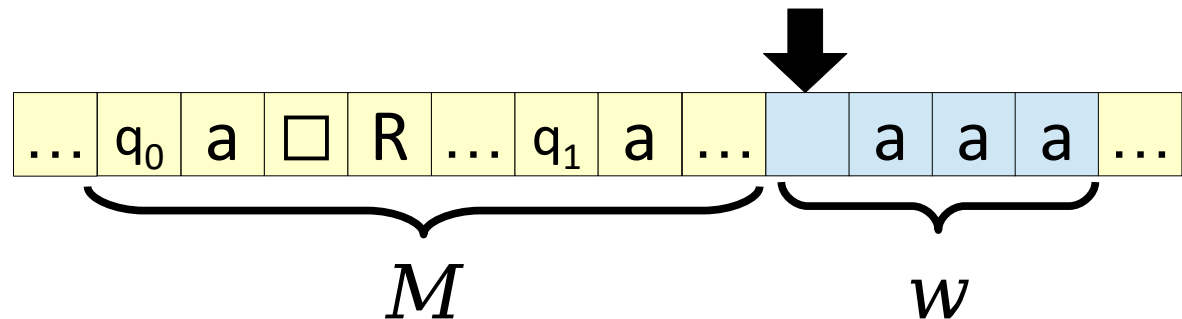
Input  $w$



$U_{TM}$

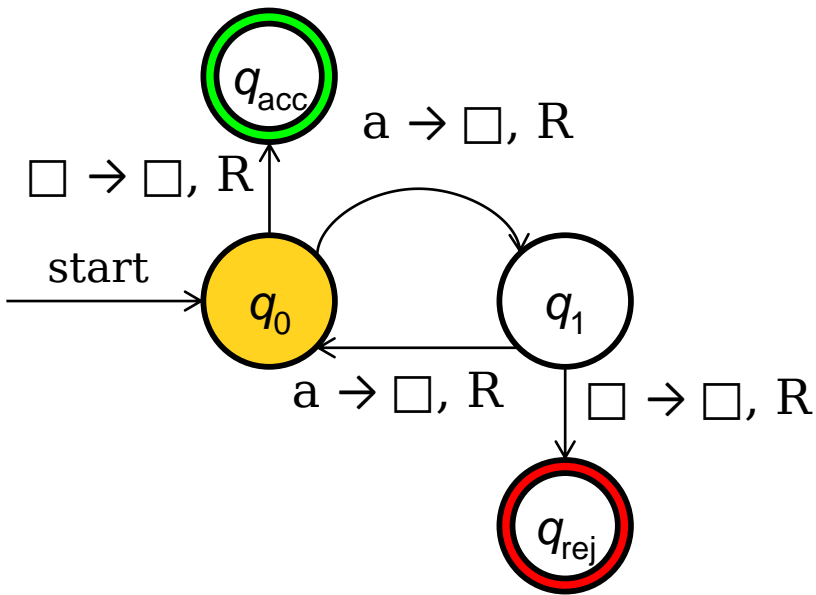


Input  $\langle M, w \rangle$

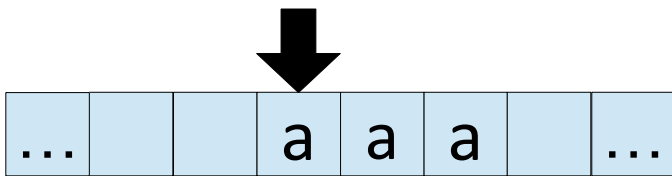


# $U_{TM}$ , Schematically

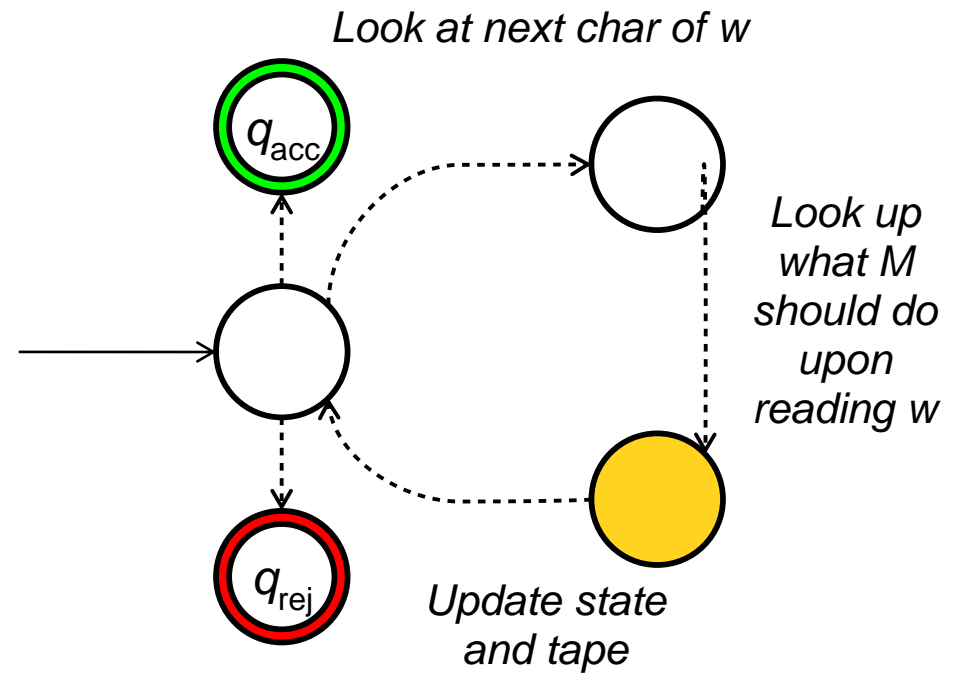
Machine  $M$



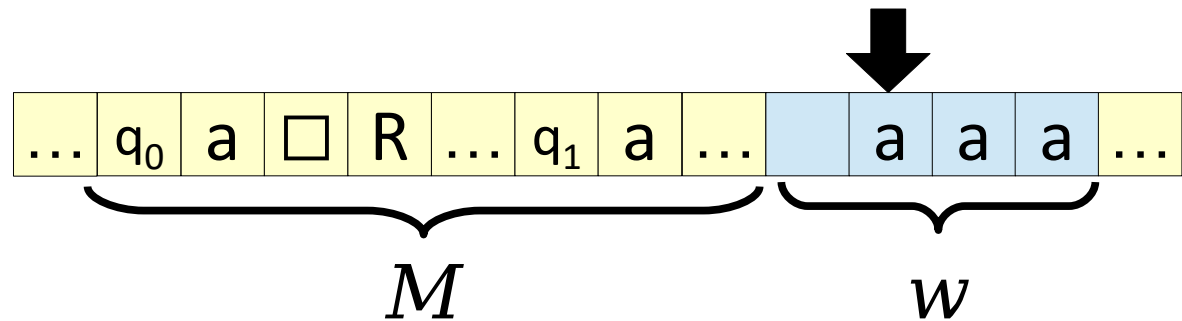
Input  $w$



$U_{TM}$

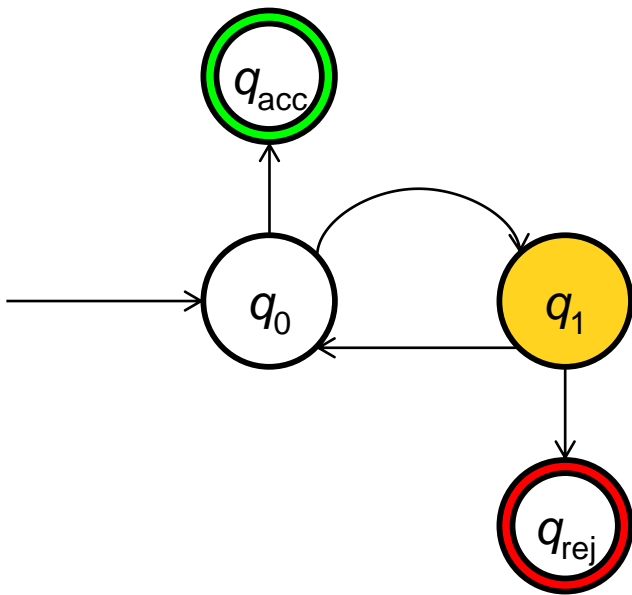


Input  $\langle M, w \rangle$

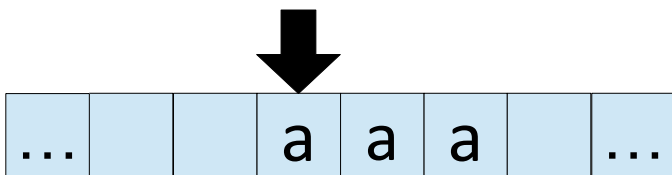


# $U_{TM}$ , Schematically

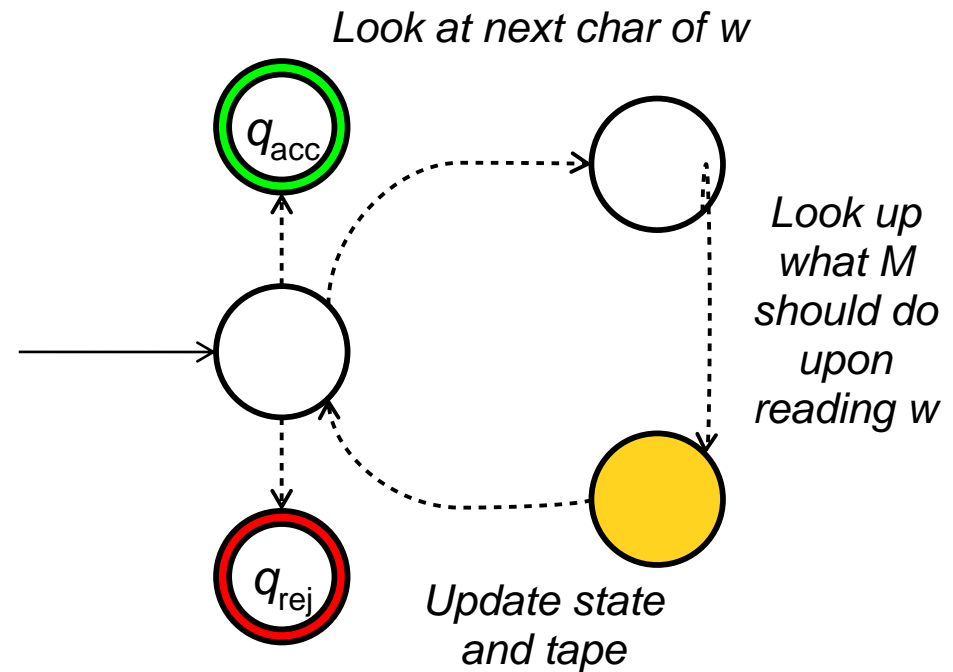
Machine  $M$



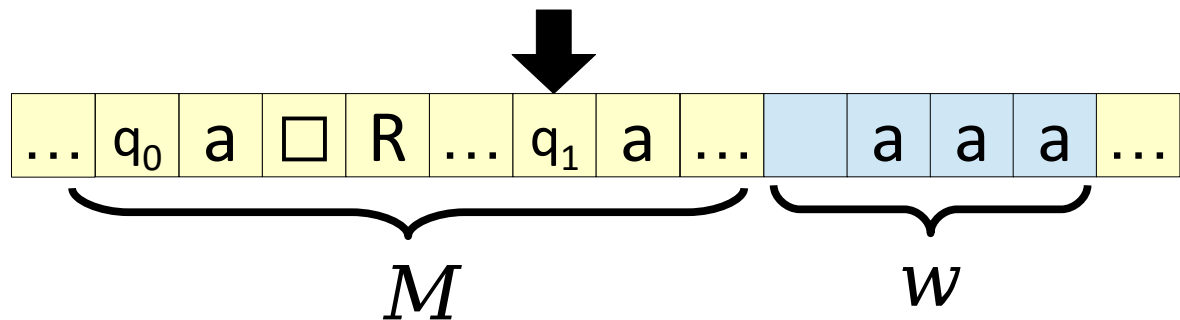
Input  $w$



$U_{TM}$

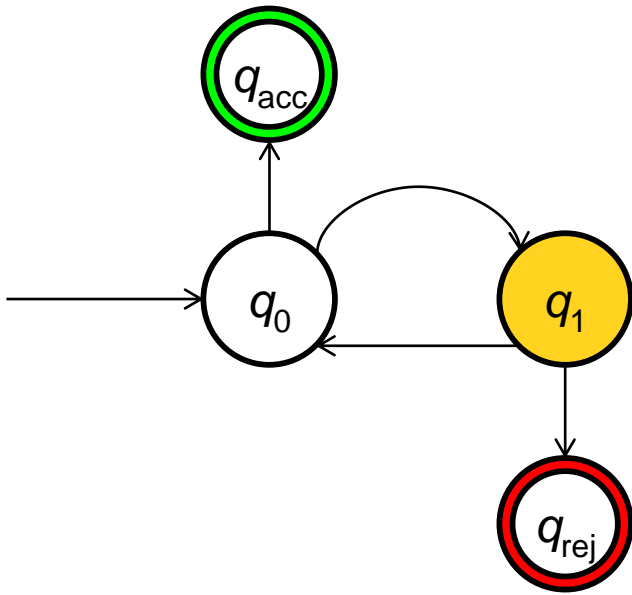


Input  $\langle M, w \rangle$

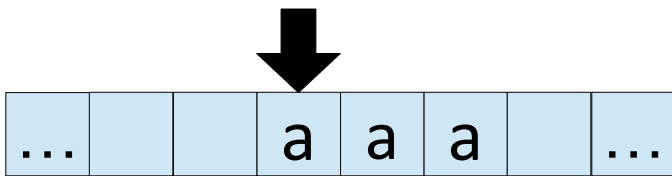


# $U_{TM}$ , Schematically

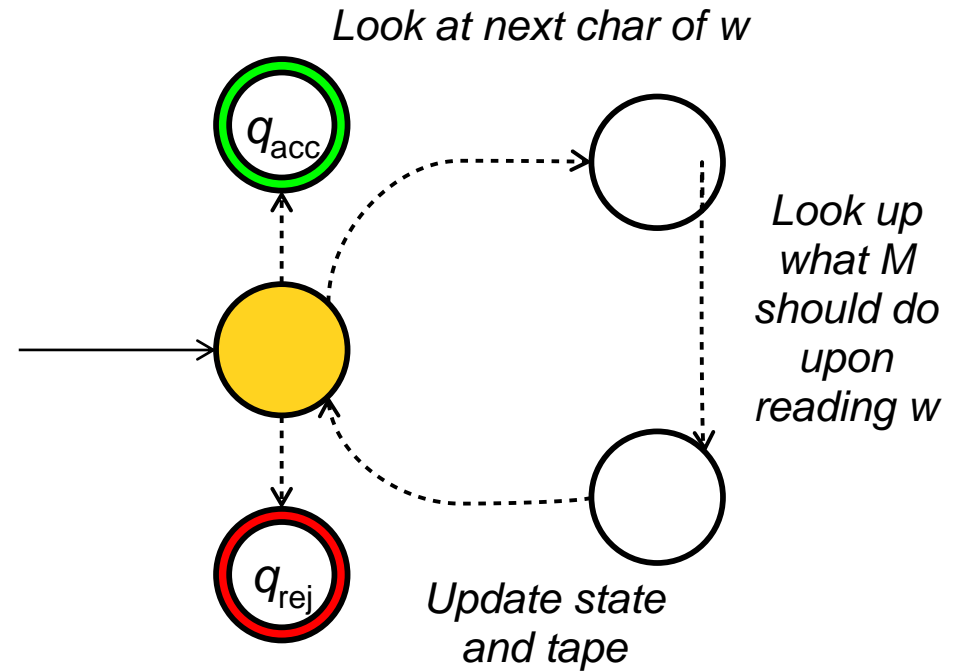
Machine  $M$



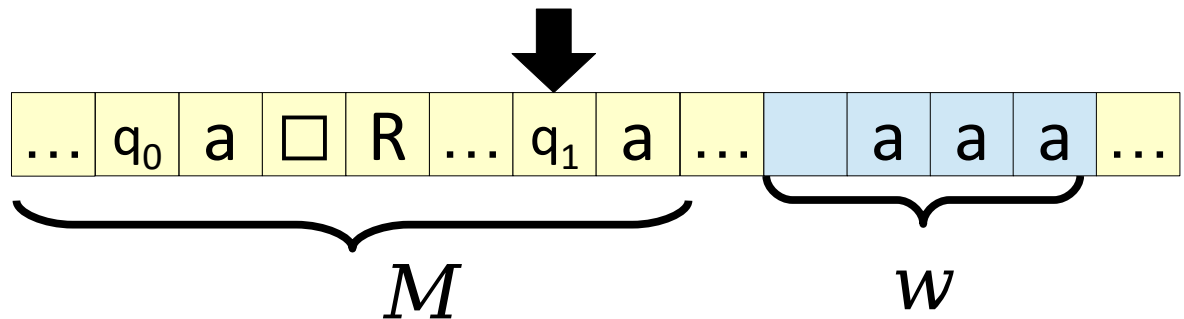
Input  $w$



$U_{TM}$



Input  $\langle M, w \rangle$



Since  $U_{\text{TM}}$  is a TM, it has a language.

What is the language of the universal  
Turing machine?



# The Language of $U_{\text{TM}}$

Recall that the language of a TM is the set of all strings that TM accepts.

$U_{\text{TM}}$ , when run on a string  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string, will

- ... accept  $\langle M, w \rangle$  if  $M$  accepts  $w$ ,
- ... reject  $\langle M, w \rangle$  if  $M$  rejects  $w$ , and
- ... loop on  $\langle M, w \rangle$  if  $M$  loops on  $w$ .

# The Language of $U_{\text{TM}}$

Recall that the language of a TM is the set of all strings that TM accepts.

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~~... loop on  $\langle M, w \rangle$  if  $M$  loops on  $w$ .~~

$$\begin{aligned}\mathcal{L}(U_{\text{TM}}) &= \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \} \\ &= \{ \langle M, w \rangle \mid M \text{ is a TM and } w \in \mathcal{L}(M) \}\end{aligned}$$

# The Language $A_{\text{TM}}$

The *acceptance language for Turing machines*, denoted  $A_{\text{TM}}$ , is the language of the universal Turing machine:

$$\begin{aligned} A_{\text{TM}} &= \mathcal{L}(U_{\text{TM}}) \\ &= \{ \langle M, w \rangle \mid M \text{ is a TM and } \\ &\quad M \text{ accepts } w \} \end{aligned}$$

Useful fact:

$$\langle M, w \rangle \in A_{\text{TM}} \iff M \text{ accepts } w.$$

Because  $A_{\text{TM}} = \mathcal{L}(U_{\text{TM}})$ , we know that  $A_{\text{TM}} \in \mathbf{RE}$ .

# Great Question to Ponder

Simplify this expression:

$$\langle U_{\text{TM}}, \langle U_{\text{TM}}, \langle U_{\text{TM}}, \langle U_{\text{TM}}, \langle M, w \rangle \rangle \rangle \rangle \rangle \in A_{\text{TM}}.$$

If you can do this, you probably understand how things fit together.

If you're having trouble, no worries! It might be easier to start with this expression:

$$\langle U_{\text{TM}}, \langle M, w \rangle \rangle \in A_{\text{TM}}.$$

Regular  
Languages

CFLs



RE

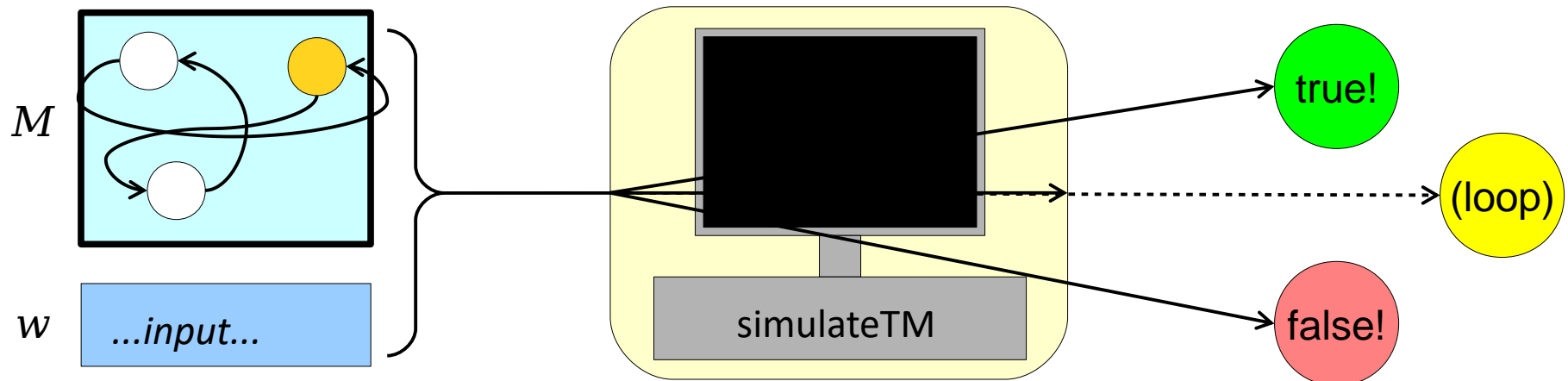
All Languages

Uh... so what?

Universality of computation has  
*practical consequences.*

# Why Does This Matter?

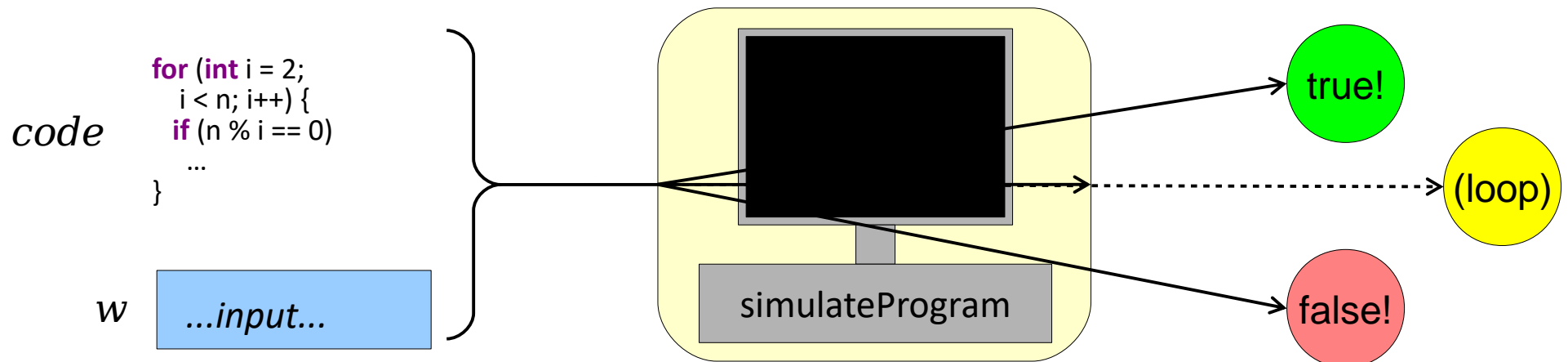
- The existence of a universal Turing machine has both theoretical and practical significance.
- For a practical example, let's review this diagram from before.
- Previously we replaced the *computer* with a TM. (This gave us the universal TM.)
- What happens if we replace the *TM* with a computer program?





# Why Does This Matter?

- The existence of a universal Turing machine has both theoretical and practical significance.
- For a practical example, let's review this diagram from before.
- Previously we replaced the *computer* with a TM. (This gave us the universal TM.)
- What happens if we replace the *TM* with a computer program?



# Programs Simulating Programs

The fact that there's a universal TM, combined with the fact that computers can simulate TMs and vice-versa, means that it's possible to write a program that simulates other programs.

These programs go by many names:

An *interpreter*, like the Java Virtual Machine or most implementations of Python.

A *virtual machine*, like VMWare or VirtualBox, that simulates an entire computer.

# Why Does This Matter?

- The key idea behind the universal TM is that idea that TMs can be fed as inputs into other TMs.
  - Similarly, an interpreter is a program that takes other programs as inputs.
  - Similarly, an emulator is a program that takes entire computers as inputs.
- This hits at the core idea that ***computing devices can perform computations on other computing devices.***

**Time-Out for Announcements!**

# Problem Sets

- Problem Set Five is due tomorrow night.
- Late period extends this to Saturday.
- This is the last assignment you can take a late period on.
- If you submitted the CFG before we linked to it, you need to submit again.

# Final Exam Logistics

- Our final exam is next Friday.
- The exam is cumulative. You're responsible for topics from PS0 – PS6 and all of the lectures up through Unsolvable Problems (this Friday).
- The exam is the same style as the midterm. More details on a Campuswire post going up today.

# Your Questions

“Why did you decide to cotermin (versus double major, minor, enter industry before grad school, etc) and what do you wish you had known before cotermining?”

# Your Questions

“Tabs or spaces?”



# Your Questions

“What made you interested in CS?”

# Your Questions

Have more questions?

Go to **sli.do** and put in code **G517**.

The event is closed, but you can still click on it and add questions / vote.

Let's take a five minute break!

## ***Teaser #1:***

This language  $A_{\text{TM}}$  has some interesting properties beyond what we've seen here.

# Self-Referential Software

# Quines

A *Quine* is a program that, when run, prints its own source code.

Quines aren't allowed to just read the file containing their source code and print it out; that's cheating (and technically incorrect if someone changes that file!)

How would you write such a program?

# Writing a Quine

# Self-Referential Programs

**Claim:** Going forward, assume that any program can be augmented to include a method called `mySource()` that returns a string representation of its source code.

General idea:

- Write the initial program with `mySource()` as a placeholder.
- Use the Quine technique we just saw to convert the program into something self-referential.

Now, `mySource()` magically works as intended.



# Self-Referential Programs

The fact that we can write Quines is not a coincidence.

***Theorem (Kleene's Second Recursion Theorem)***: It is possible to construct TMs that perform arbitrary computations on their own "source code" (the string encoding of the TM).

In other words, any computing system that's equal to a Turing machine possesses some mechanism for self-reference!

Want to see how deep the rabbit hole goes?  
Take CS154!

## ***Teaser #2:***

Self-reference lets machines compute on themselves. That lets them do Cruel and Unusual Things.

# A Note on TM/Program Equivalence

# Equivalence of TMs and Programs

Every TM

- receives some input,
- does some work, then
- (optionally) accepts or rejects.

We can model a TM as a computer program where

- the input is provided by a special method `getInput()` that returns the input to the program,
- the program's logic is written in a normal programming language, and
- the program (optionally) calls the special method `accept()` to immediately accept the input and `reject()` to immediately reject the input.

# Equivalence of TMs and Programs

Here's a sample program we might use to model a Turing machine for  $\{ w \in \{a, b\}^* \mid w \text{ has the same number of } a\text{'s and } b\text{'s} \}$ :

```
int main() {
    string input = getInput();
    int difference = 0;

    for (char ch: input) {
        if (ch == 'a') difference++;
        else if (ch == 'b') difference--;
        else reject();
    }

    if (difference == 0) accept();
    else reject();
}
```

# Equivalence of TMs and Programs

As mentioned before, it's always possible to build a method `mySource()` into a program, which returns the source code of the program.

For example, here's a narcissistic program:

```
int main() {  
    string me = mySource();  
    string input = getInput();  
  
    if (input == me) accept();  
    else reject();  
}
```

# Equivalence of TMs and Programs

Sometimes, TMs use other TMs as subroutines.

We can think of a decider for a language as a method that takes in some number of arguments and returns a boolean.

For example, a decider for  $\{ a^n b^n \mid n \in \mathbb{N} \}$  might be represented in software as a method with this signature:

**bool** isAnBn(string w);

Similarly, a decider for  $\{ \langle m, n \rangle \mid m, n \in \mathbb{N} \text{ and } m \text{ is a multiple of } n \}$  might be represented in software as a method with this signature:

**bool** isMultipleOf(int m, int n);

# Self-Defeating Objects



A ***self-defeating object*** is an object whose essential properties ensure it doesn't exist.

**Question:** Why is there no largest integer?

**Answer:** Because if  $n$  is the largest integer, what happens when we look at  $n+1$ ?

# Self-Defeating Objects

***Theorem:*** There is no largest integer.

***Proof sketch:*** Suppose for the sake of contradiction that there is a largest integer. Call that integer  $n$ .

Consider the integer  $n+1$ .

Notice that  $n < n+1$ .

But then  $n$  isn't the largest integer.

Contradiction! ■

# Self-Defeating Objects

The general template for proving that  $x$  is a self-defeating object is as follows:

- Assume that  $x$  exists.
- Construct some object  $f(x)$  from  $x$ .
- Show that  $f(x)$  has some impossible property.
- Conclude that  $x$  doesn't exist.

The particulars of what  $x$  and  $f(x)$  are, and why  $f(x)$  has an impossible property, depend on the specifics of the proof.

An Important Point

Careful – we're assuming what we're trying to prove!

**Claim:** There is a largest integer.

**Proof:** Assume  $x$  is the largest integer. }

Notice that  $x > x - 1$ .

So there's no contradiction. ■ }

How do we know there's no contradiction? We just checked one case.

# Self-Defeating Objects

You **cannot** show that a self-defeating object  $x$  **does exist** by using this line of reasoning:

- Suppose that  $x$  exists.
- Construct some object  $g(x)$  from  $x$ .
- Show that  $g(x)$  has **no** undesirable properties.
- Conclude that  $x$  exists.

The fact that  $g(x)$  has no bad properties doesn't mean that  $x$  exists. It just means you didn't look hard enough for a counterexample. 😊

## ***Teaser #3:***

Certain Turing machines can't exist, as they'd be self-defeating objects.



# Learning About a String

Suppose  $M$  is a recognizer for some important language.

We have a string  $w$  and we really, really want to know whether  $w \in \mathcal{L}(M)$ .

How could we do this?

# *Observation:*

If you want to know whether this is true...

$w \in \mathcal{L}(M)$

if and only if

$M$  accepts  $w$ .

... you can try to determine whether this is true.

# Learning About a String

**Option 1:** Run  $M$  on  $w$ .

What could happen?

- $M$  could accept  $w$ . Great! We know  $w \in \mathcal{L}(M)$ .
- $M$  could reject  $w$ . Great! We know  $w \notin \mathcal{L}(M)$ .
- $M$  could loop on  $w$ . Hmm. We've learned nothing.

This won't always tell us whether  $w \in \mathcal{L}(M)$ .  
We'll need a different strategy.

## *Observation:*

If you want to know whether this is true...

$$\{ w \in \mathcal{L}(M) \}$$

if and only if

$M$  accepts  $w$

if and only if

$$\langle M, w \rangle \in A_{\text{TM}}$$

... you can try to determine whether this is true.

# Learning About a String

**Option 2:** Use the universal Turing machine, which is a recognizer for  $A_{\text{TM}}$ !

Specifically, run  $U_{\text{TM}}$  on  $\langle M, w \rangle$ .

What could happen?

- $U_{\text{TM}}$  could accept  $\langle M, w \rangle$ . Great! Then  $w \in \mathcal{L}(M)$ .
- $U_{\text{TM}}$  could reject  $\langle M, w \rangle$ . Great! Then  $w \notin \mathcal{L}(M)$ .
- $U_{\text{TM}}$  could loop on  $\langle M, w \rangle$ . Hmm. We've learned nothing.

This won't always tell us whether  $w \in \mathcal{L}(M)$ . We'll need a different strategy.

# Learning About a String

**Option 2:** Use the universal Turing machine, which is a **recognizer for  $A_{TM}$** !

Specifically, run  $U_{TM}$  on  $\langle M, w \rangle$ .

What could happen?

$U_{TM}$  could accept  $\langle M, w \rangle$ . Great! Then

$U_{TM}$  could reject  $\langle M, w \rangle$ . Great! Then  $w \notin \mathcal{L}(M)$ .

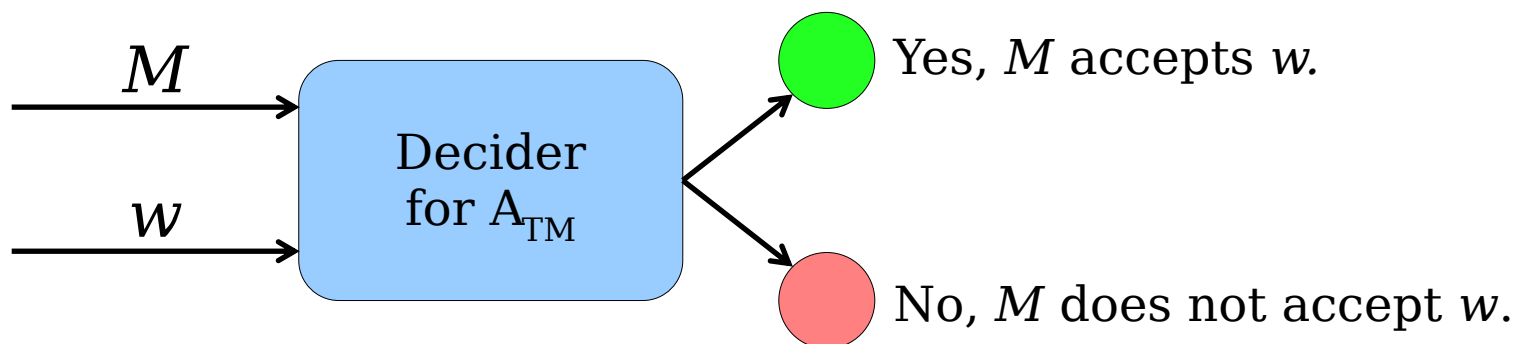
$U_{TM}$  could loop on  $\langle M, w \rangle$ . Hmm. We've learned **nothing**.

This won't always tell us whether  $w \in \mathcal{L}(M)$ . We'll need a different strategy.

What if we used a **decider**, not a **recognizer**?

# Learning About a String

**Option 3:** Build a *decider* for  $A_{TM}$ , rather than just a recognizer.



Specifically, build a decider for  $A_{TM}$ , then run that decider on  $\langle M, w \rangle$ .

What could happen?

The decider could accept  $\langle M, w \rangle$ . Then  $w \in \mathcal{L}(M)$ .

The decider could reject  $\langle M, w \rangle$ . Then  $w \notin \mathcal{L}(M)$ .

**Question:** How do we build this decider?

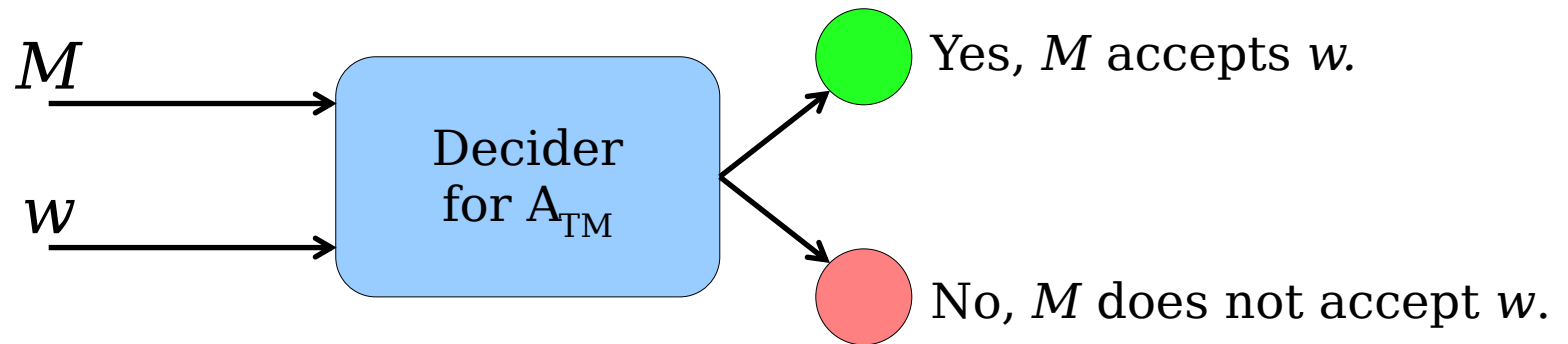
***Claim:*** A decider for  $A_{\text{TM}}$  is a self-defeating object. It therefore doesn't exist.



# A Self-Defeating Object

Let's suppose that, somehow, we managed to build a decider for  $A_{TM}$ .

Schematically, that decider would look like this:



We could represent this decider in software as a method

```
bool willAccept(string program, string input);
```

that takes as input a program and a string, then returns whether that program will accept that string.

# What does this program do?

```
bool willAccept(string program, string input) {  
    /* ... some implementation ... */  
}
```

# What does this program do?

```
bool willAccept(string program, string input) {  
    /* ... some implementation ... */  
}  
  
int main() {  
    string me = mySource();  
    string input = getInput();  
  
    if (willAccept(me, input)) {  
        reject();  
    } else {  
        accept();  
    }  
}
```

# What does this program do?

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bool willAccept(string program, string input) {  
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```

Try running this program on any input.  
What happens if  
... this program accepts its input?

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```

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```
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    } else {  
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}
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Try running this program on any input.  
What happens if

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```

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Try running this program on any input.  
What happens if

... this program accepts its input?  
**It rejects the input!**

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```
    if (willAccept(me, input)  
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```

Try running this program on any input.  
What happens if

... this program accepts its input?

**It rejects the input!**

... this program doesn't accept its input?

# What does this program do?

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}
```

“The largest integer n”

“Using n to get n + 1”

# What does this program do?

**Theorem:** There is no largest integer.

**Proof sketch:** Suppose for the sake of contradiction that there is a largest integer. Call that integer  $n$ .

Consider the integer  $n+1$ .

Notice that  $n < n+1$ .

But then  $n$  isn't the largest integer.

Contradiction! ■

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```
bool willAccept(string program, string
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}
```

```
int main() {
    string me = mySource();
    string input();
```

Assume there exists this object  $x$  which has these properties that are too powerful to actually work.

```
if (willAccept(me, input)) {
    reject();
} else {
    accept();
}
```

```
}
```

# What does this program do?

Use the purported properties of  $x$  against itself to create a contradiction.

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program, string
input) {
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```
bool willAccept(string program, string input) {
```

```
    /* ...some implementation... */
```

```
}
```

Thus, this object  $x$  cannot exist!

```
    source();  
    tInput();
```

```
    if (willAccept(me, input)) {  
        reject();
```

```
    } else {  
        accept();
```

```
    }
```

```
}
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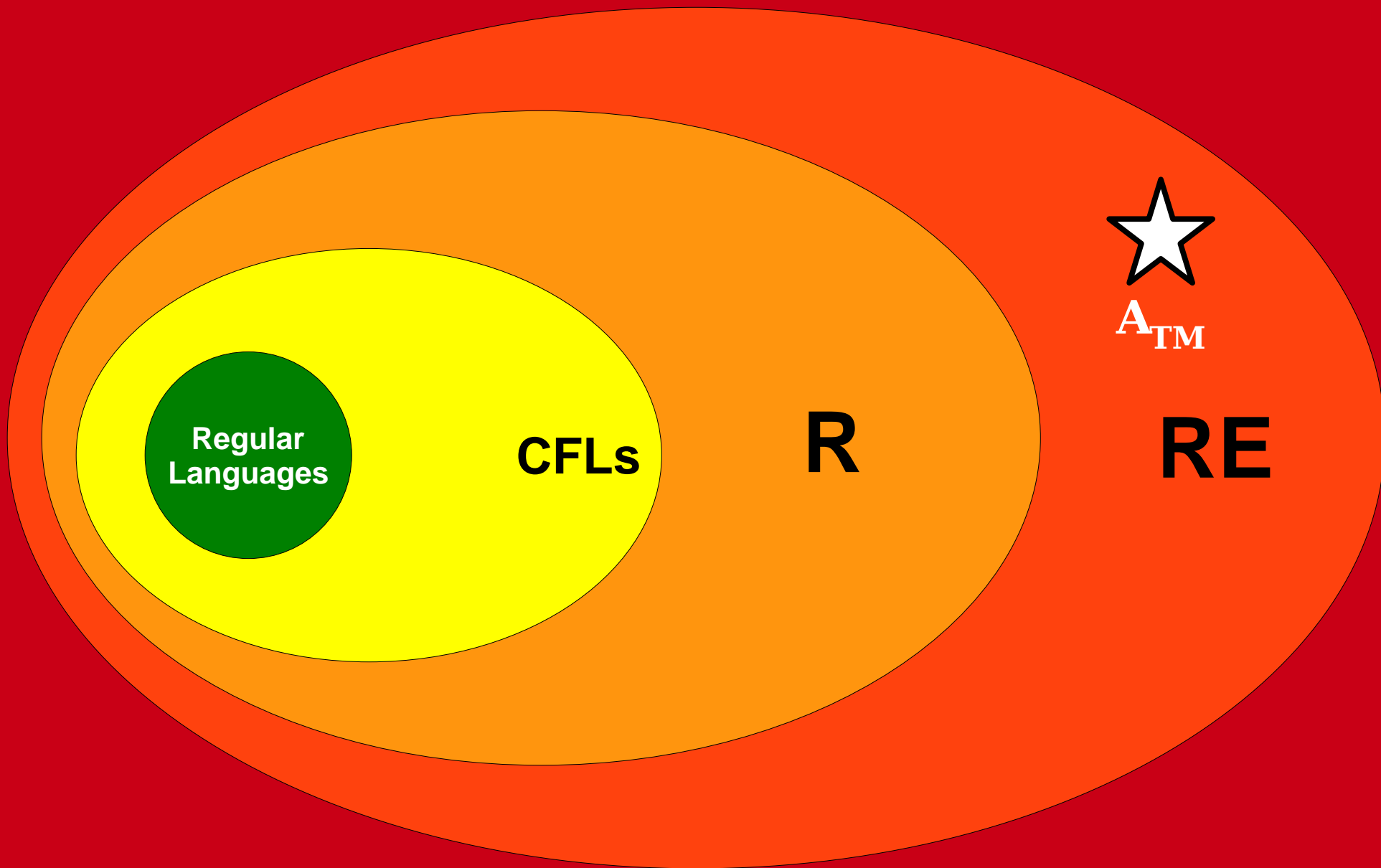
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**All Languages**

# What Does This Mean?

In one fell swoop, we've proven that

- A decider for  $A_{\text{TM}}$  is a self-defeating object.
- $A_{\text{TM}}$  is ***undecidable***; there is no general algorithm that can determine whether a TM will accept a string.
- **$\mathbf{R} \neq \mathbf{RE}$** , because  $A_{\text{TM}} \notin \mathbf{R}$  but  $A_{\text{TM}} \in \mathbf{RE}$ .

What do these three statements really mean? As in, why should you care?

# Self-Defeating Objects

The fact that a decider for  $A_{\text{TM}}$  is a self-defeating object is analogous to this classic philosophical question:

***If you know what you are fated to do, can you avoid your fate?***

If we have a decider for  $A_{\text{TM}}$ , we could use it to build a TM that determines what it's supposed to do next, then chooses to do the opposite!

$$A_{\text{TM}} \notin \mathbf{R}$$

The proof we've done says that

***There is no algorithm that can determine whether a program will accept an input.***

Our proof just assumed there was some decider for  $A_{\text{TM}}$  and didn't assume anything about how that decider worked. No matter how you try to implement a decider for  $A_{\text{TM}}$ , you can never succeed!



$$A_{\text{TM}} \notin \mathbf{R}$$

What exactly does it mean for  $A_{\text{TM}}$  to be undecidable?

***Intuition: The only general way to find out what a program will do is to run it.***

As you'll see, this means that it's provably impossible for computers to be able to answer questions about what a program will do.

$$A_{\text{TM}} \notin \mathbf{R}$$

At a more fundamental level, the existence of undecidable problems tells us the following:

***There is a difference between what is true and what we can discover is true.***

Given a TM  $M$  and a string  $w$ , one of these two statements is true:

***$M$  accepts  $w$***

***$M$  does not accept  $w$***

But since  $A_{\text{TM}}$  is undecidable, there is no algorithm that can always determine which of these statements is true!

# $\mathbf{R} \neq \mathbf{RE}$

Because  $\mathbf{R} \neq \mathbf{RE}$ , there is a difference between decidability and recognizability:

*In some sense, it is fundamentally harder to solve a problem than it is to check an answer.*

There are problems where when you have the answer, you can confirm it (build a recognizer), but where if you don't have the answer, you can't come up with it in a mechanical way (build a decider).

# Next Time

## ***More Undecidable Problems***

Problems truly beyond the limits of algorithmic problem-solving!

## ***Consequences of Undecidability***

Why does any of this matter outside of a computer science course?